

# On the transition from Galactic to extragalactic cosmic rays

**Alex Käpä**

ICRC 2021  
Berlin  
Plenary Talk  
Zoom conference  
13<sup>th</sup> July 2021



**BERGISCHE  
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# List of contributions on “transition”:

- 1) Self-trigger radio prototype array for GRAND (13/7/21, 18:00, Indico-ID: 381)
- 2) Combined fit of the energy spectrum and mass composition across the ankle with the data measured at the Pierre Auger Observatory (13/7/21, 18:00, Indico-ID: 547)
- 3) Results from the KASCADE-Grande data analysis (13/7/21, 18:00, Indico-ID: 565)
- 4) Cosmic-Ray Studies with the Surface Instrumentation of IceCube (13/7/21, 18:00, Indico-ID: 780)
- 5) The depth of the shower maximum of air showers measured with AERA (13/7/21, 18:00, Indico-ID: 1208)
- 6) The Giant Radio Array for Neutrino Detection (GRAND) project (14/7/21, 12:00, Indico-ID: 191)
- 7) Cosmic Ray Energy Spectrum measured by the TALE Fluorescence Detector (14/7/21, 12:00, Indico-ID: 851)
- 8) Measurement of carbon and oxygen fluxes in cosmic rays with the DAMPE experiment (14/7/21, 18:00, Indico-ID: 1136)
- 9) What if new physics sets in above 50 TeV? Cosmic-ray air-shower simulations with increased cross-section and multiplicity (14/7/21, 18:00, Indico-ID: 1170)
- 10) Update on the large-scale cosmic-ray anisotropy search at the highest energies by the Telescope Array Experiment (15/7/21, 12:00, Indico-ID: 145)
- 11) The Surface Array planned for IceCube-Gen2 (15/7/21, 18:00, Indico-ID: 442)
- 12) Study of mass composition of cosmic rays with IceTop and IceCube (16/7/21, 18:00, Indico-ID: 659)
- 13) Performance of SKA as an air shower observatory (1/7/21, 18:00, Indico-ID: 1122)
- 14) Simulation study for the future IceCube-Gen2 surface array (20/7/21, 12:00, Indico-ID: 843)
- 15) Highlight: Extragalactic cosmic ray sources (21/7/21, 14:00, Indico-ID: 1470)

# Cosmic ray energy spectrum

Broken power-law with three ‘main’ features:

- ‘**knee**’: softening at  $\sim 10^{15.4}$  eV
- ‘**ankle**’: hardening at  $\sim 10^{18.7}$  eV
- high-energy cut-off beyond  $\sim 10^{19.6}$  eV

Further more subtle features:

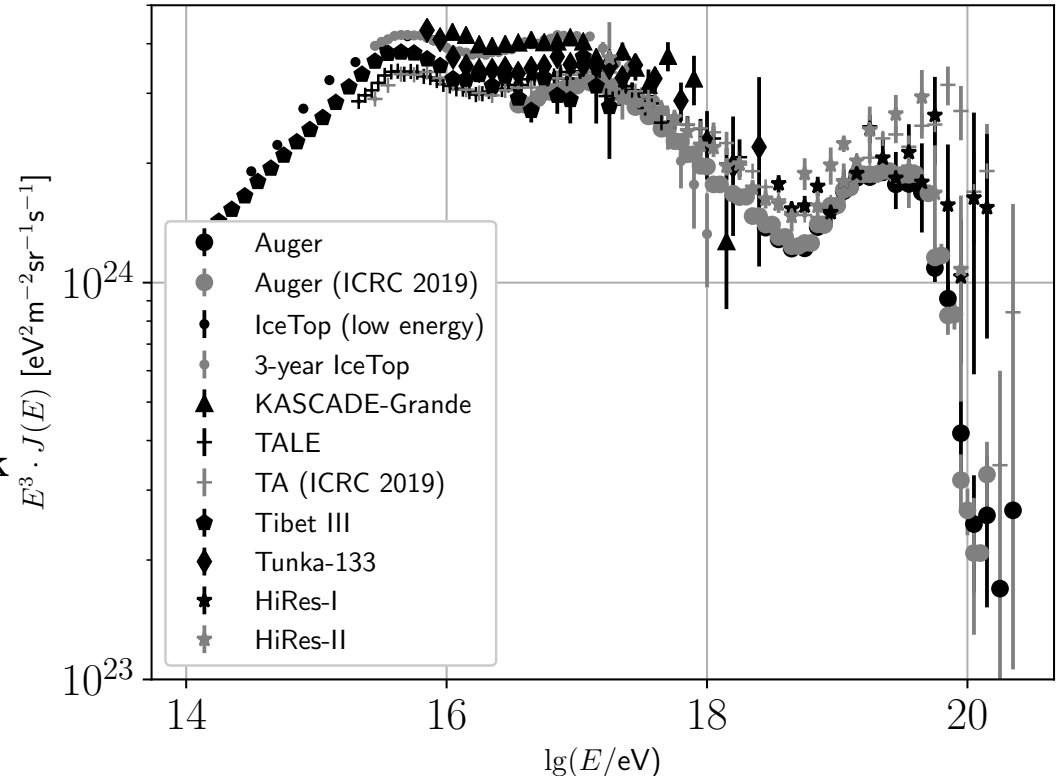
- hardening at  $\sim 10^{16.7}$  eV
- ‘**2<sup>nd</sup> knee**’: softening at  $\sim 10^{17.0..4}$  eV
- ‘**toe**’: softening at  $\sim 10^{19.1}$  eV

**Galactic** cosmic rays (**GCRs**) for diffusive shock acceleration (DSA) in supernova remnants (SNR) dominate **below** ‘**knee**’ energies.

**Extragalactic** cosmic rays (**EGCRs**) dominate at energies **above** ‘**ankle**’.

**Transition** region (= ‘**shin**’) **unexplained**:

- unaccounted for flux



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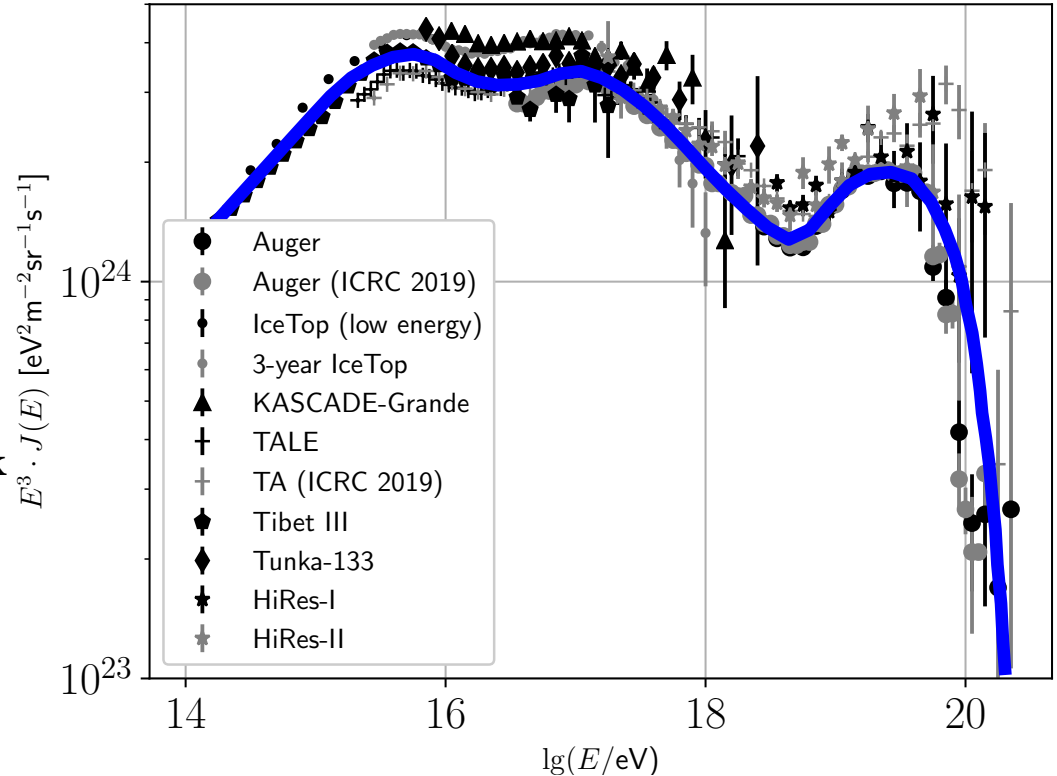
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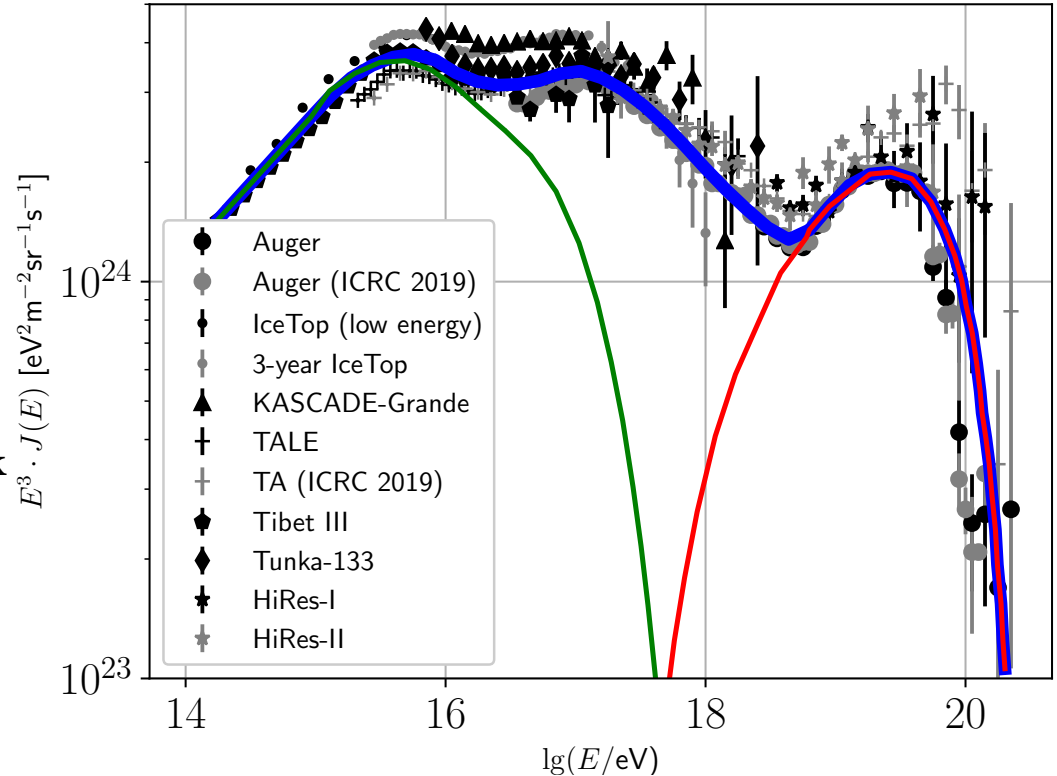
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see also: Thoudam, Astron.Astrophys. 595 (2016) A33



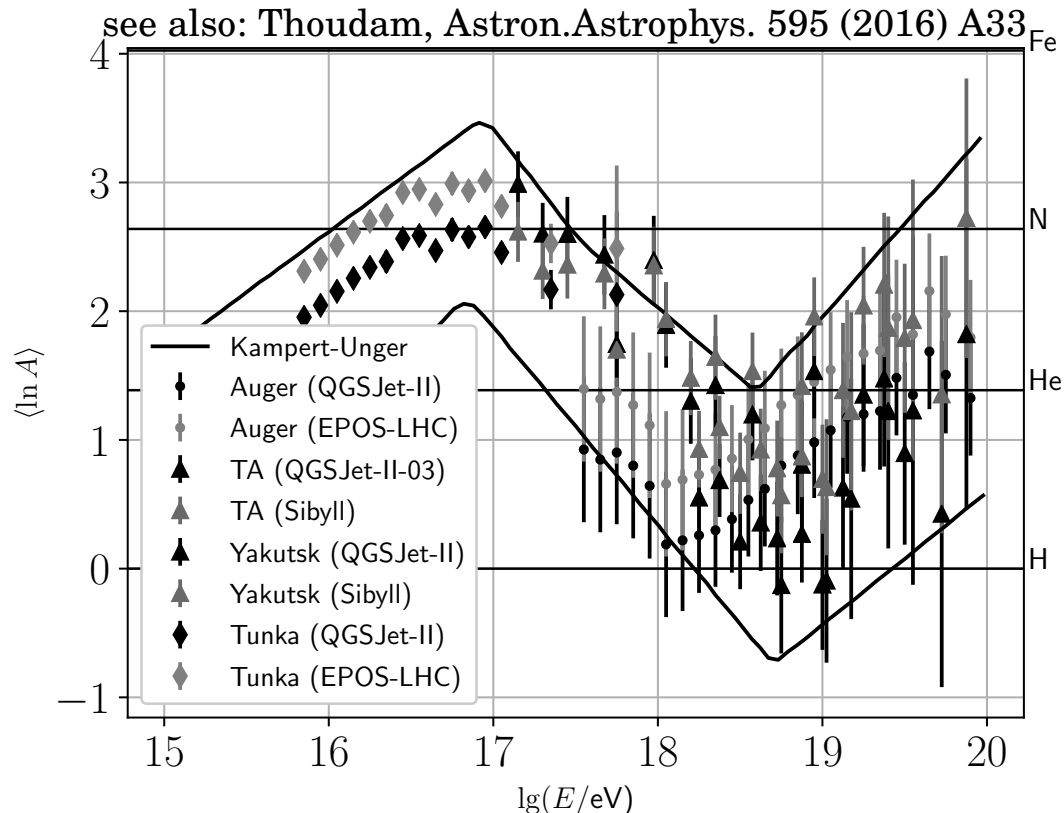
# Cosmic ray composition

Composition highly energy-dependent:

- heavier beyond the ‘knee’
- maximum **before** ‘2<sup>nd</sup> knee’
- minimum just before ‘ankle’
- **increasing mean mass at high-energy cut-off**

Increasing mean mass  
→ **rigidity-dependent** change in:

- source properties (**maximum acceleration energy**)
- **propagation regimes** in magnetic fields



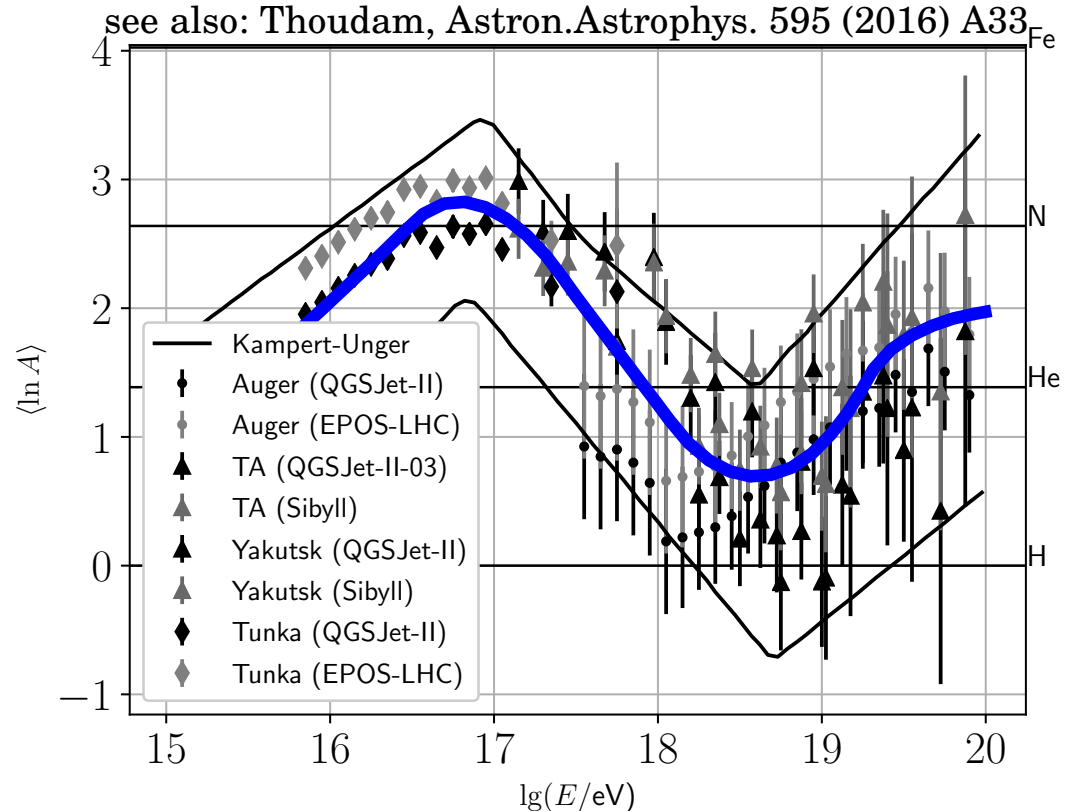
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# Anisotropies

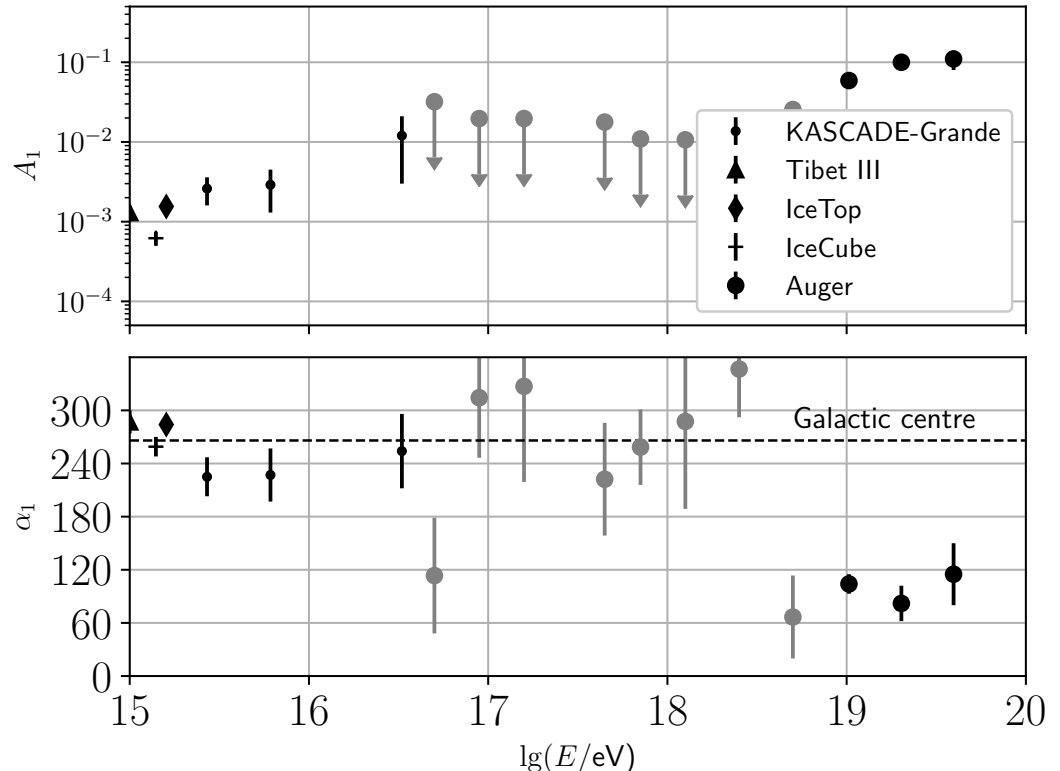
## Dipole anisotropy:

- amplitude increases with energy
- **no significant dipole** between  $\sim 10^{16.5} \text{ eV} - 10^{19} \text{ eV}$
- **phase roughly constant** in both energy ranges but **shifts away from Galactic centre (GC)** for highest energies  
→ **extragalactic** origin likely

## Small-scale anisotropies:

- amplitude and direction indicate strength of **diffusion** vs. **advection**: correlation with **source direction**  
↔ **strength of Galactic wind**

see also: Becker-Tjus, Physics Reports 872 (2020) pp.1-98



# Anisotropies

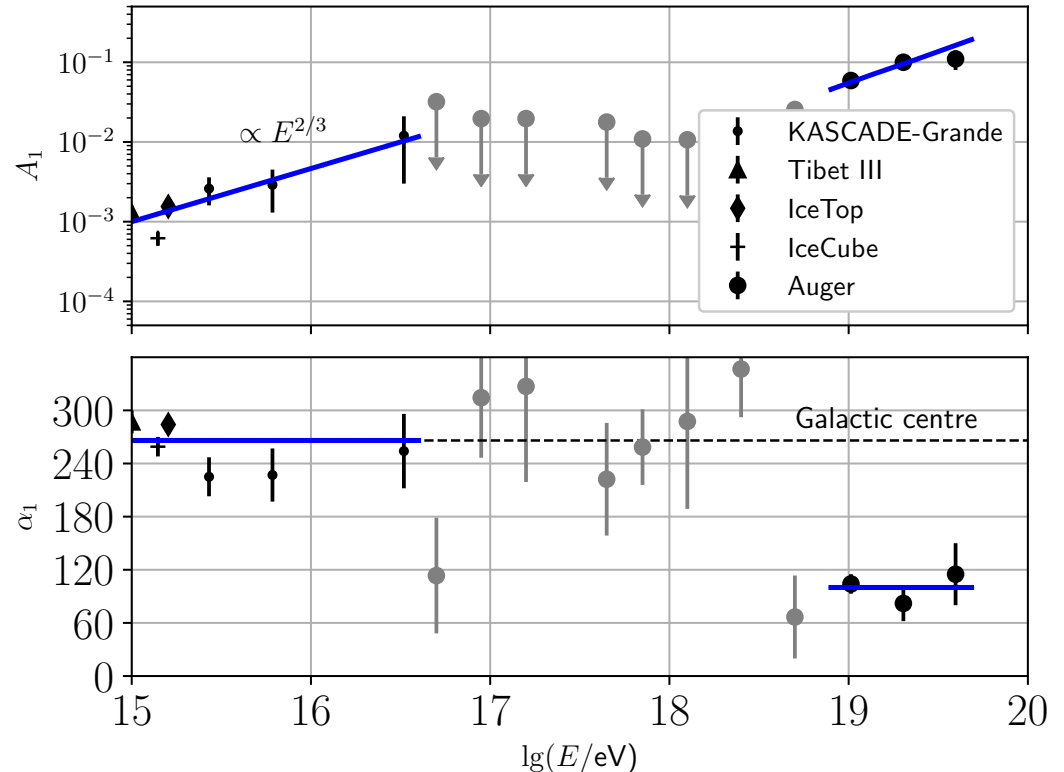
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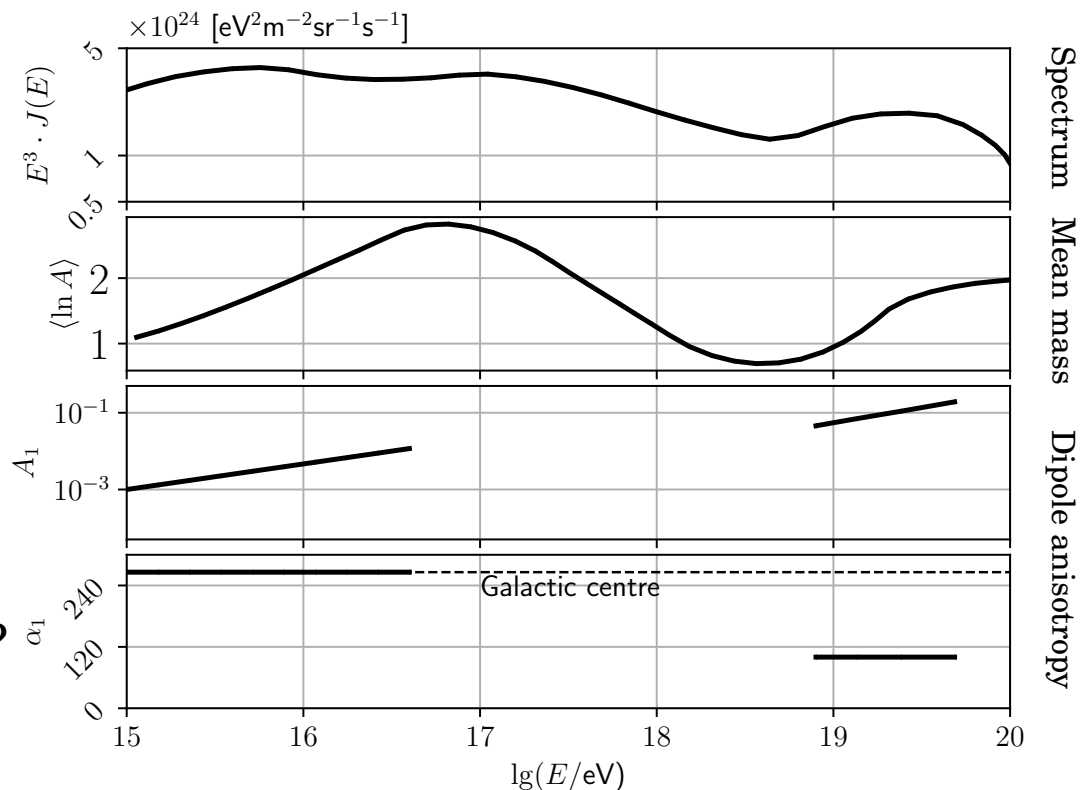
# “All” data in one look

## Composition:

- What **explains ‘2<sup>nd</sup> knee’** if maximum mean mass is reached well before?
- Why does the composition become **lighter up to the ‘ankle’**?

## Spectrum:

- How could **GCRs** be accelerated up to energies **beyond the ‘knee’**?
- What **constraints** are there on **low-energy** contribution of **EGCRs**?
- **How are observables affected by the propagation in the Galactic magnetic field (GMF)?**



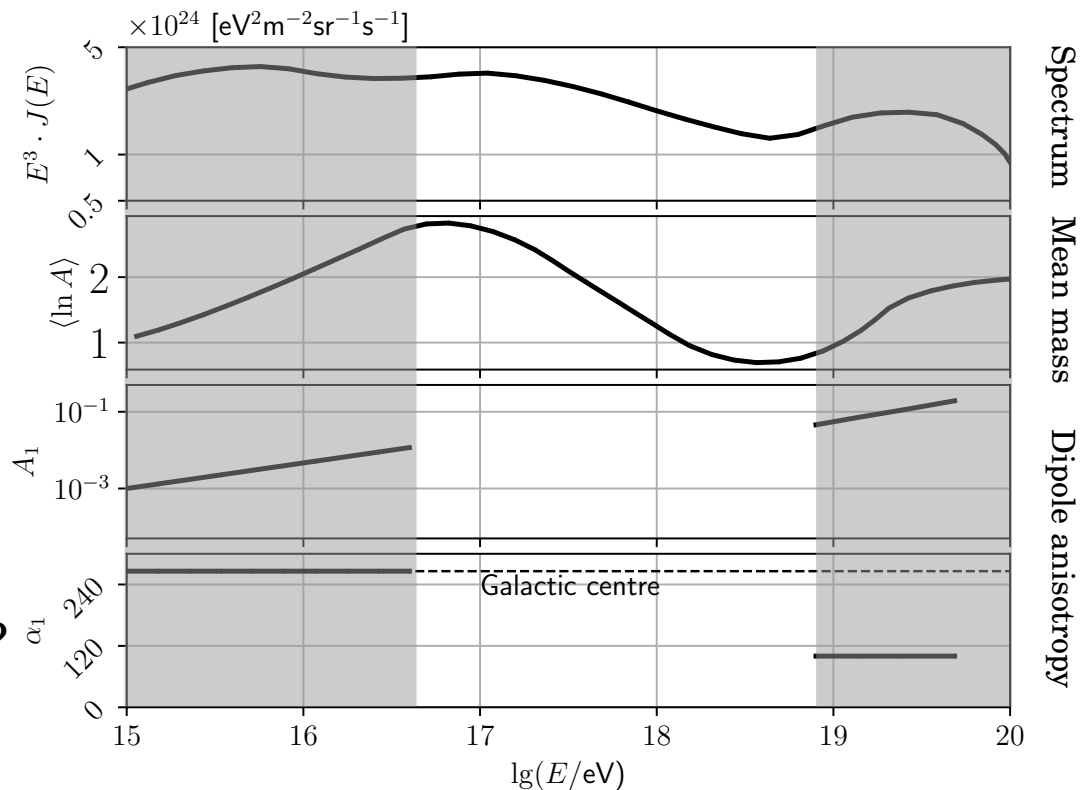
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# Galactic magnetic field (GMF)

x-z projection of JF12 field

**GMF model: JF12** (ApJ 757 14x) with three components:

- Large-scale regular
- Large-scale random (striated)
- (Small-scale) random

GMF has **three regions** of differing **field strength**:

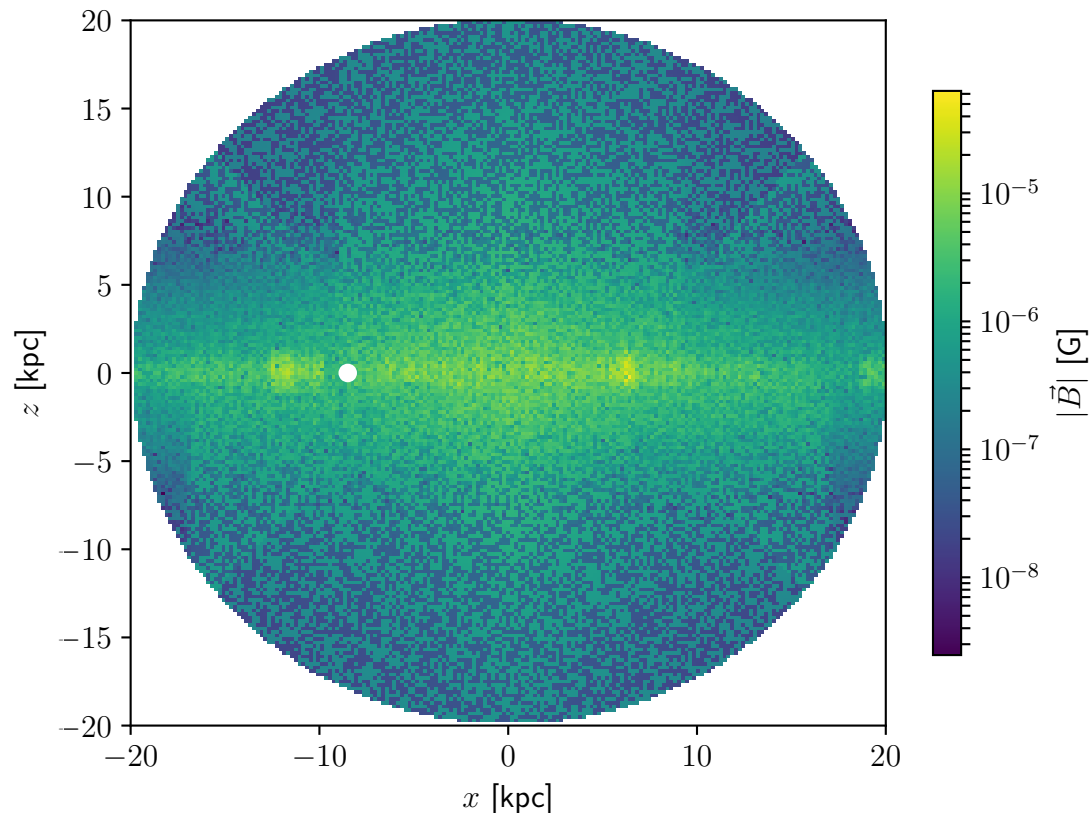
- **Galactic plane (GP):**  $\sim 1 - 10 \mu\text{G}$
- Halo:  $\sim 0.1 - 1 \mu\text{G}$
- Edge of Galaxy:  $10 - 100 \text{ nG}$

Gyroradius  $r_g$ :

$$r_g[\text{pc}] \approx 11 \cdot \frac{R[\text{PV}] \cdot v_{\perp}/c}{B[\mu\text{G}]}, \quad R = E/Ze$$

Transition region = **change in propagation regimes**

- **diffusive**  $\rightarrow$  **ballistic** propagation





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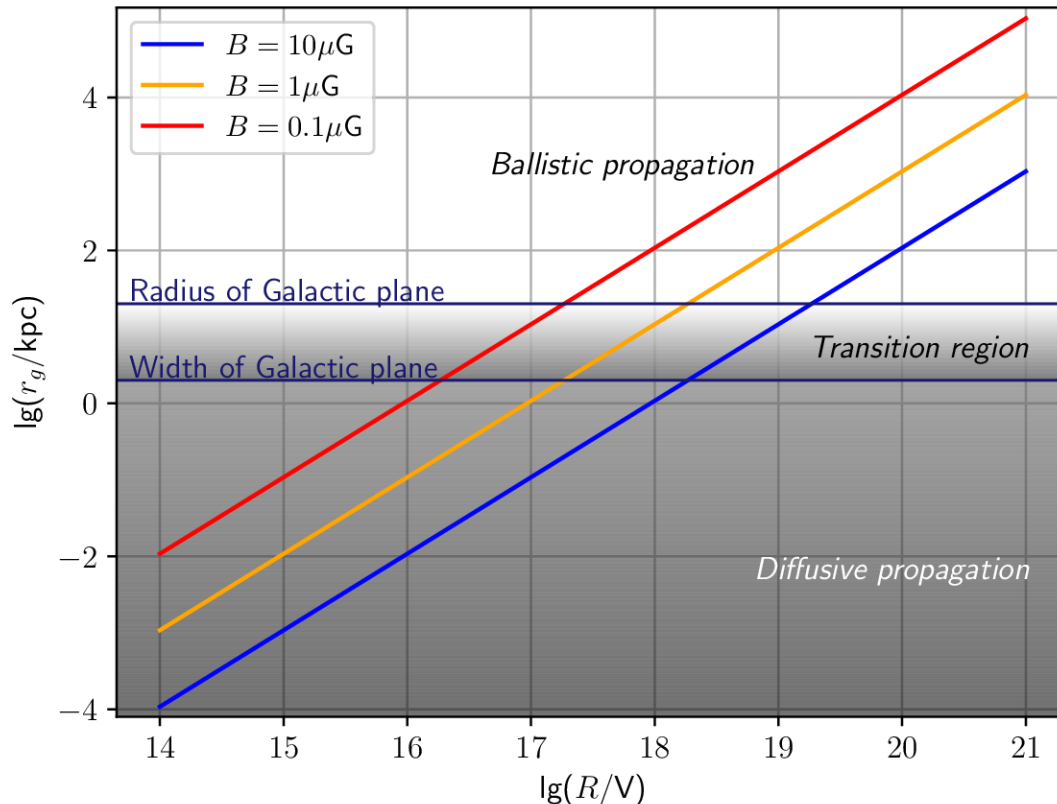
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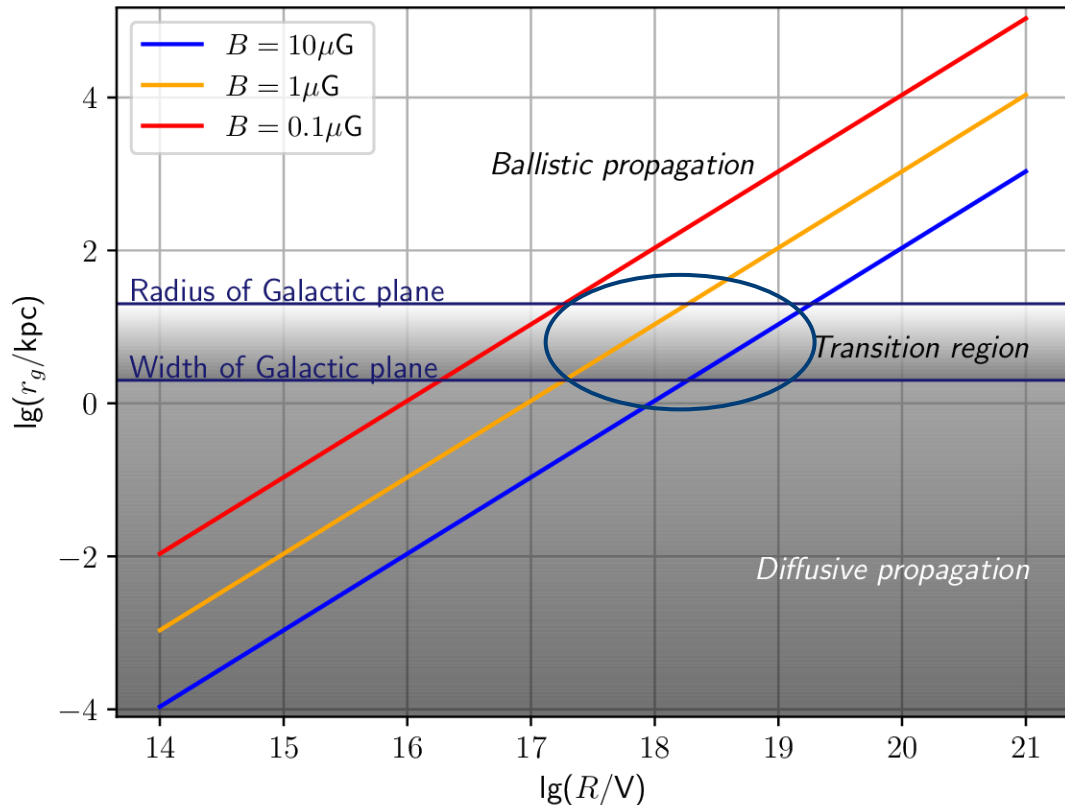
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# Procedure: Simulation with CRPropa3

JCAP 1605 (2016) no. 05, 038

Forwardtrack protons:

- Backtracking GCRs leads to “degenerate” source position distribution (cannot differentiate between source in GP and particle crossing GP during propagation).
- Backtracking of EGCRs is not sensitive to flux modification.

No interactions:

- Only deflections  $\rightarrow$  results can be scaled to all nuclei (important for composition)
- Rigidity range:  $\lg(R/V) = 16.0 - 20.0$  (large overlapping energy range for all nuclei)
- Injection spectrum:  $R^{-1}$

Galactic magnetic field model:

- JF12 (ApJ 757 14x; including regular, random and striated components)

# Sources and observers

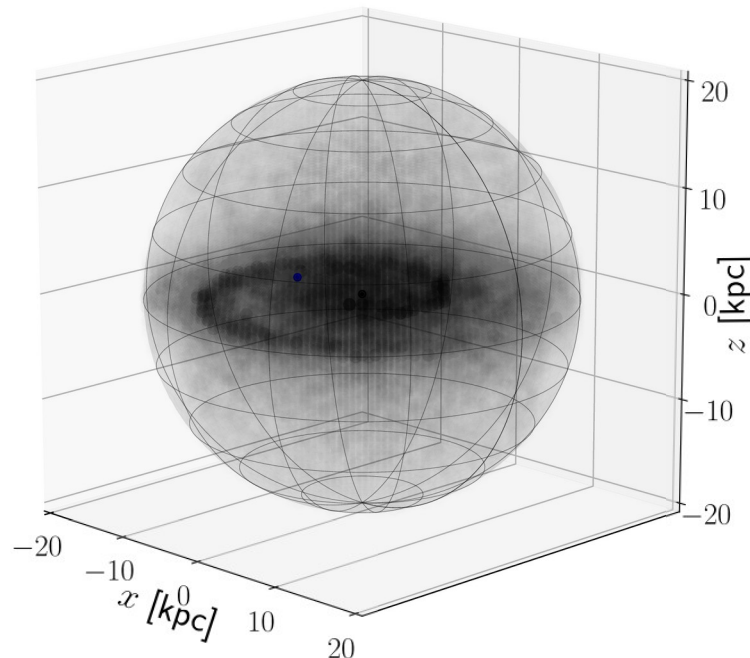
## Sources:

- GCRs:
  - **homogeneously distributed in GP**
  - isotropic injection direction distribution
- EGCRs:
  - **isotropic injection:** Lambertian injection direction distribution from Galactic shell

## Observers:

- **‘Galactic plane’:** cylinder of 100 pc height around Galactic centre with variable radius
- **‘Earth’:** observer sphere at Earth’s position in Galactic coordinates (-8.5 kpc, 0, 0)

## Galactic volume with GMF



# Sources and observers

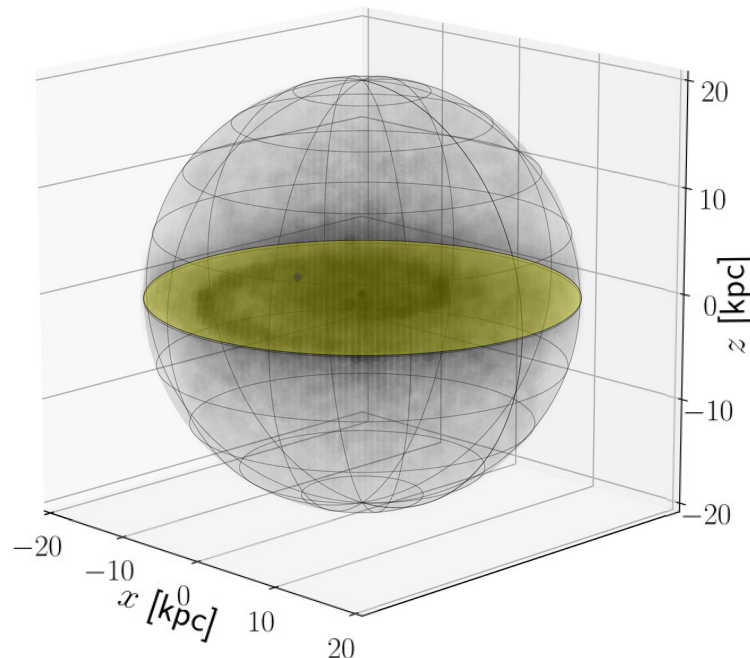
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## GCR source distribution



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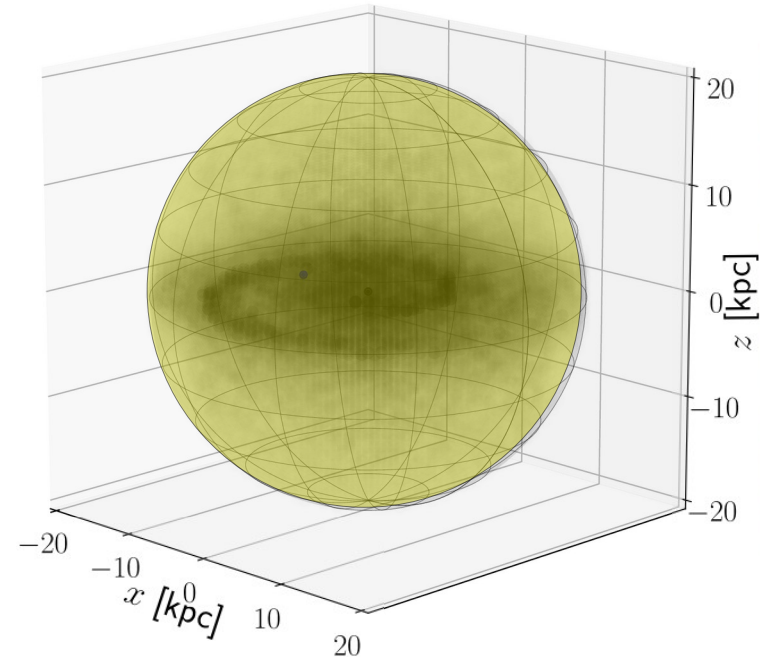
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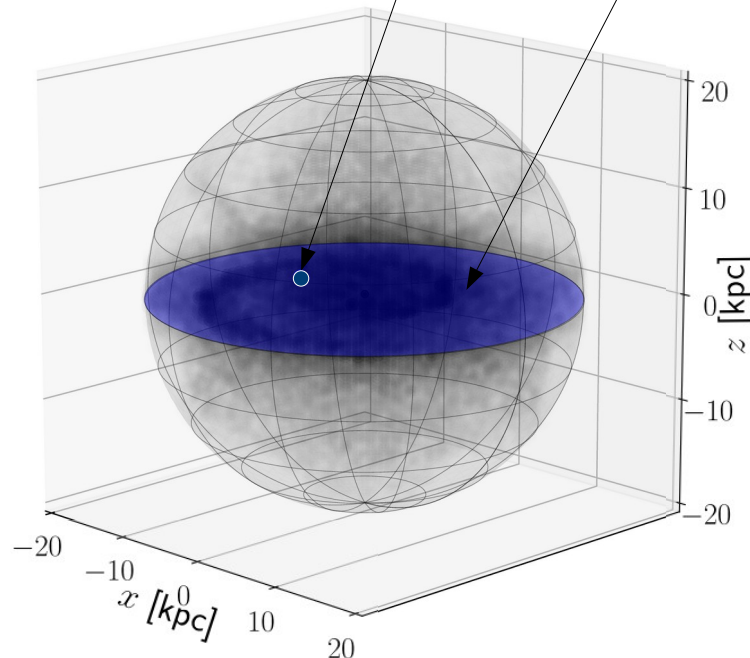
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## Observer types: Earth and GP

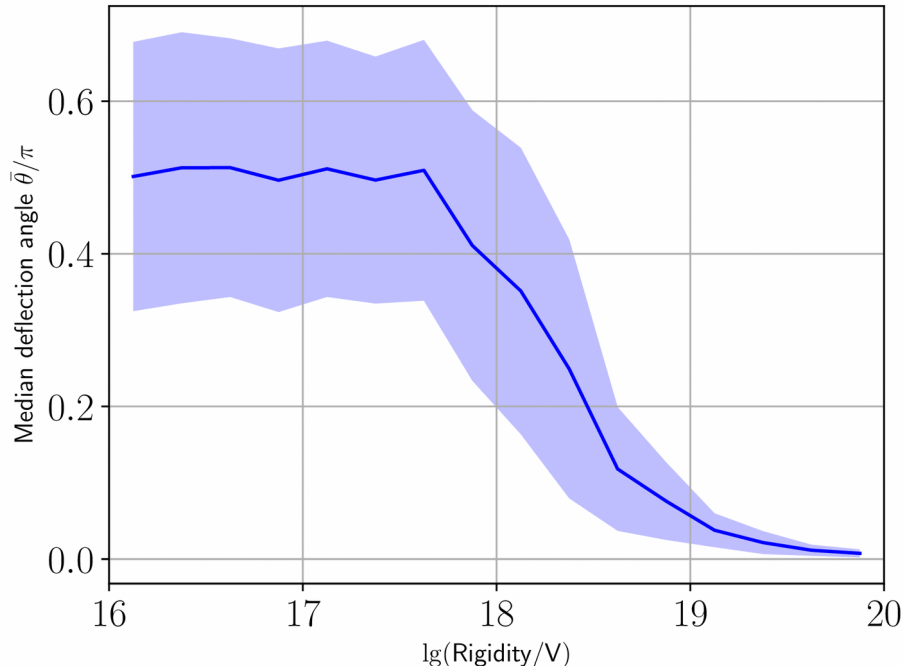


# Propagation Effects

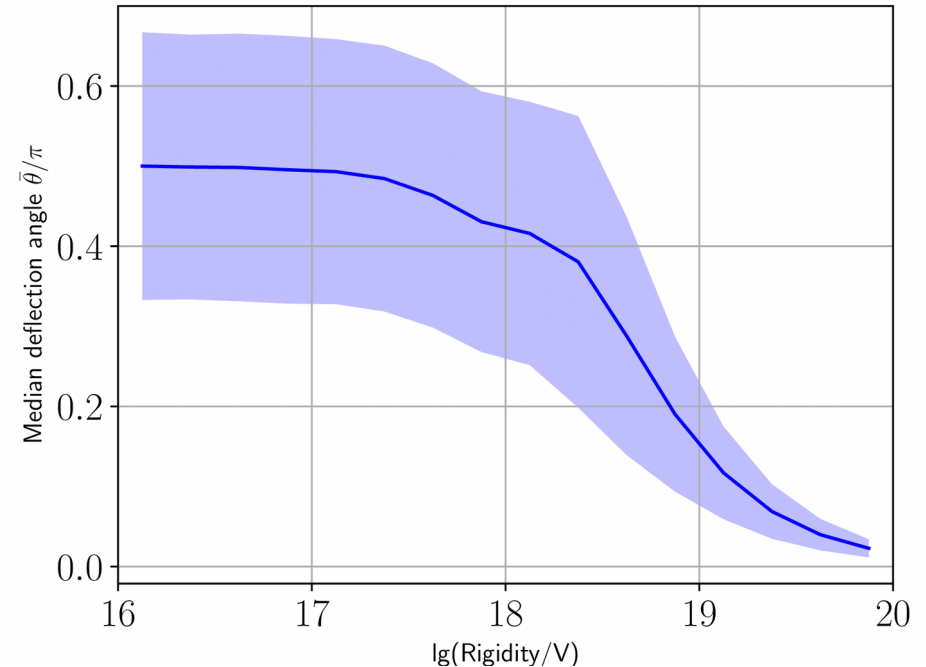


# Injection/arrival direction deflection angle

GCRs forward tracked to Earth



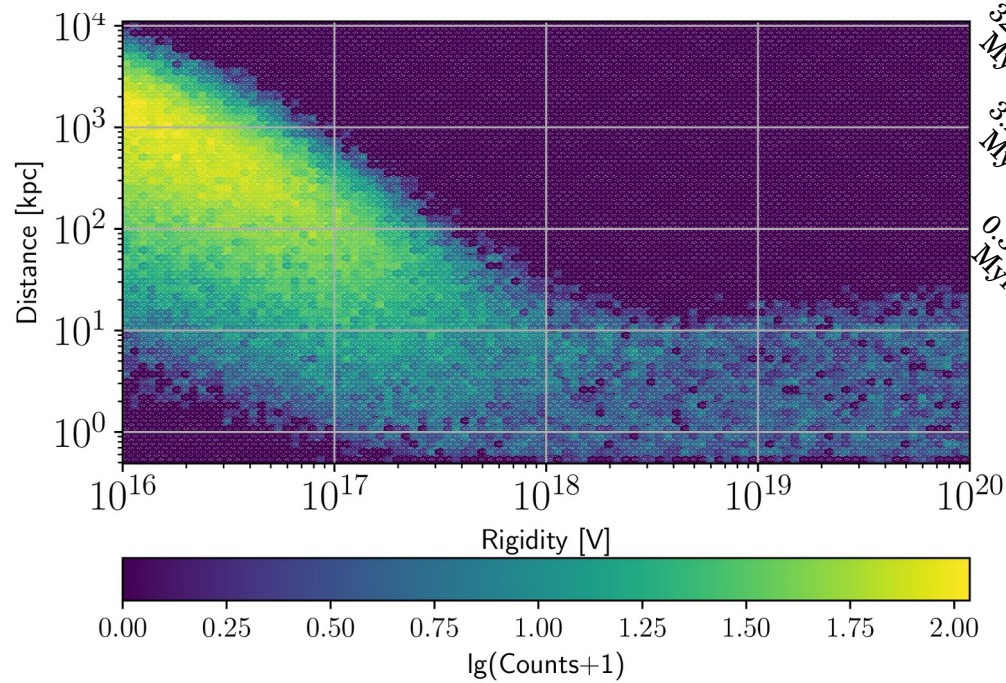
EGCRs backtracked from Earth



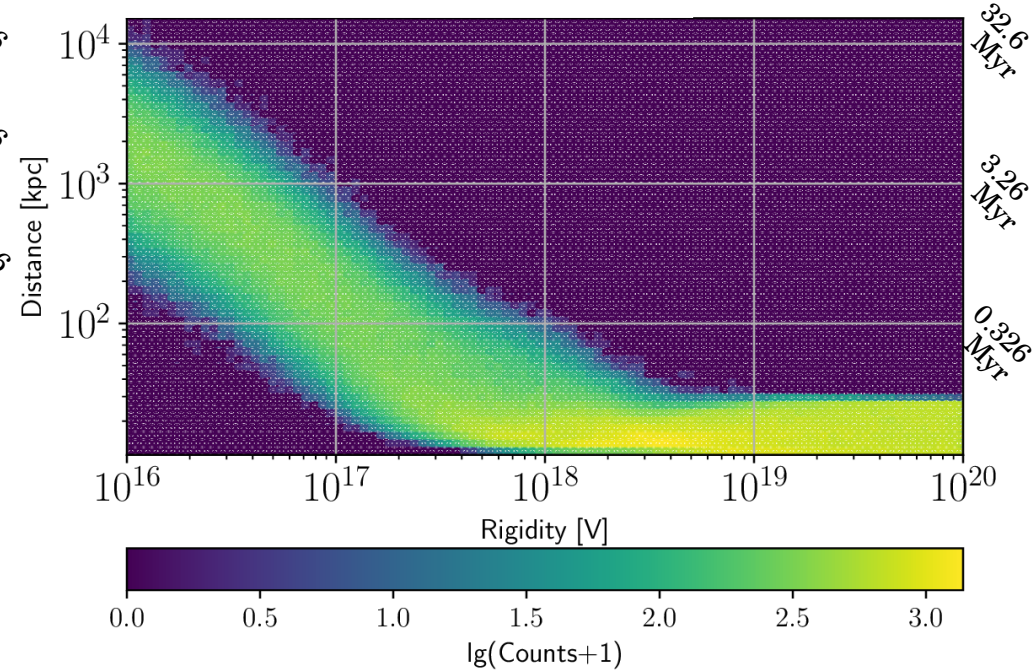
$\theta = \pi/2$  for  $\lg(R/V) \leq 18 \rightarrow$  diffusive propagation  
(see also: Erdman, Astropart.Phys. 85 (2016) 54-64)

# Propagation time to Earth

GCRs forward tracked to Earth



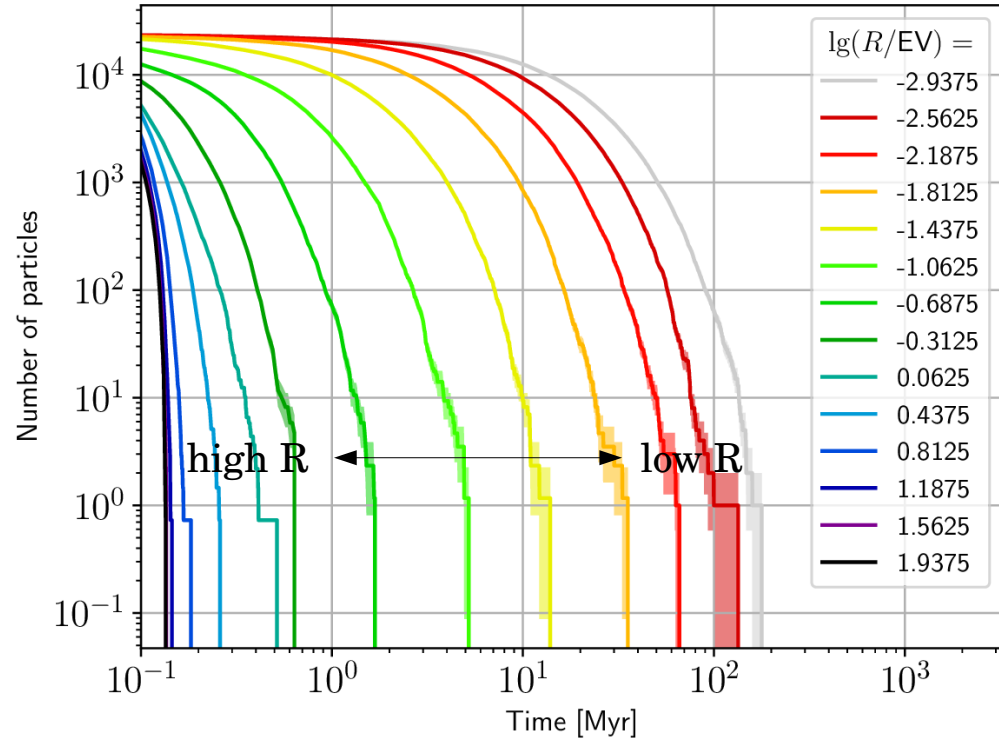
EGCRs backtracked from Earth



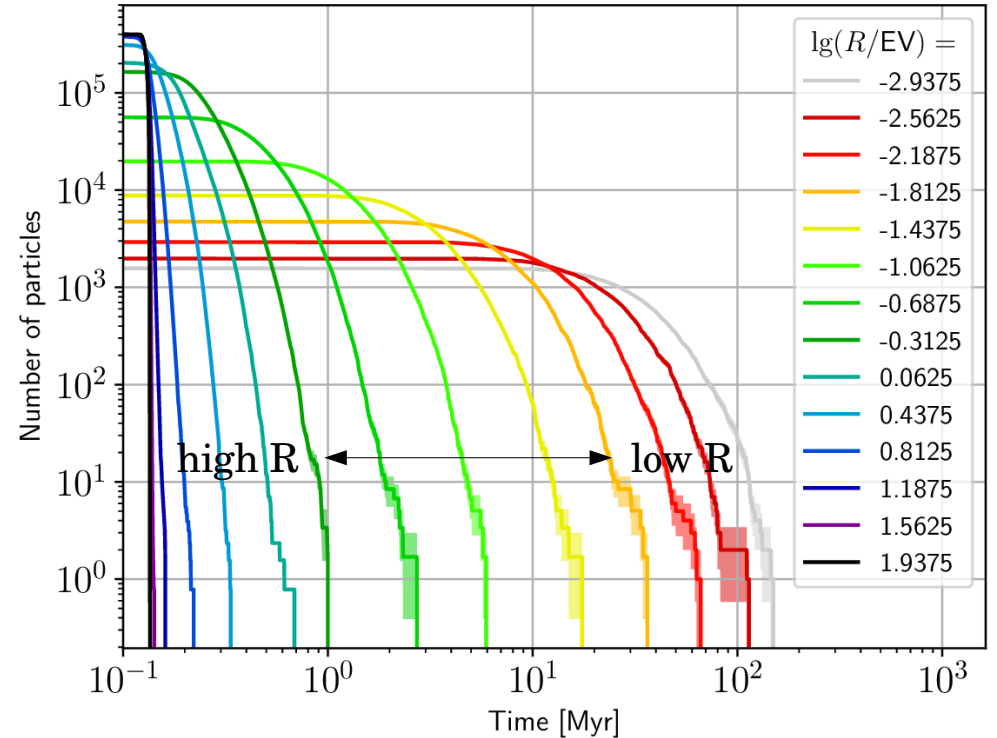
Propagation time increases below rigidities of a few 1 EV.

# Galactic residence time

## GCRs



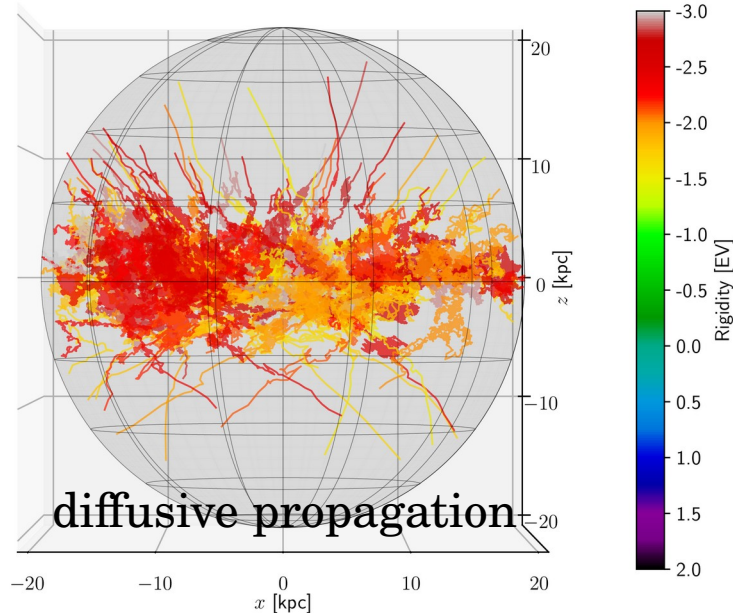
## EGCRs reaching the GP



Lowest-rigidity particles have residence times up to 100 Myr.

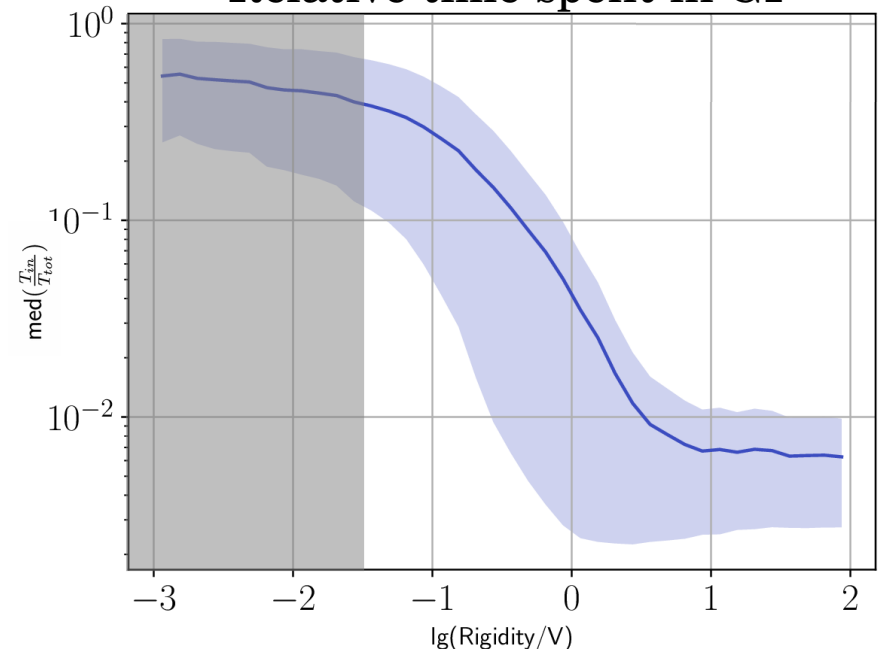
# GCRs – Confinement in GP

Galactic trajectories ( $\lg(R/V) = 15 - 16.5$ )



**Decreasing confinement in GP with rigidity.**

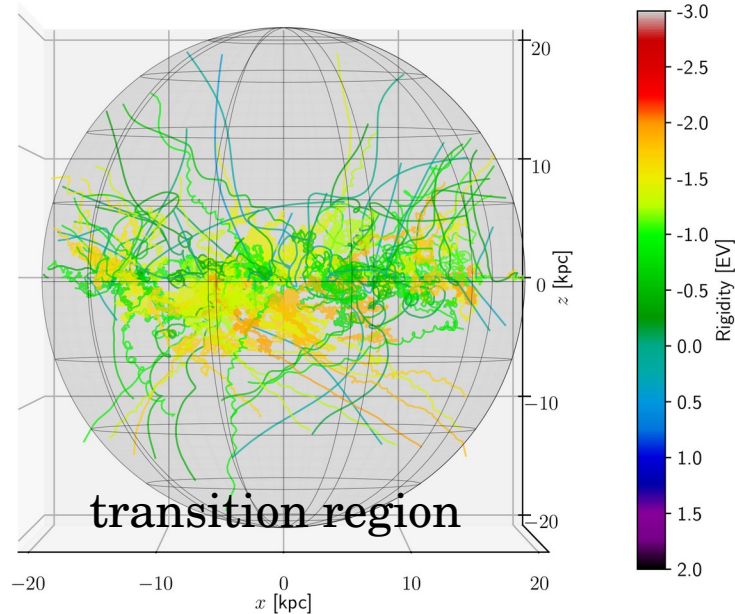
Relative time spent in GP



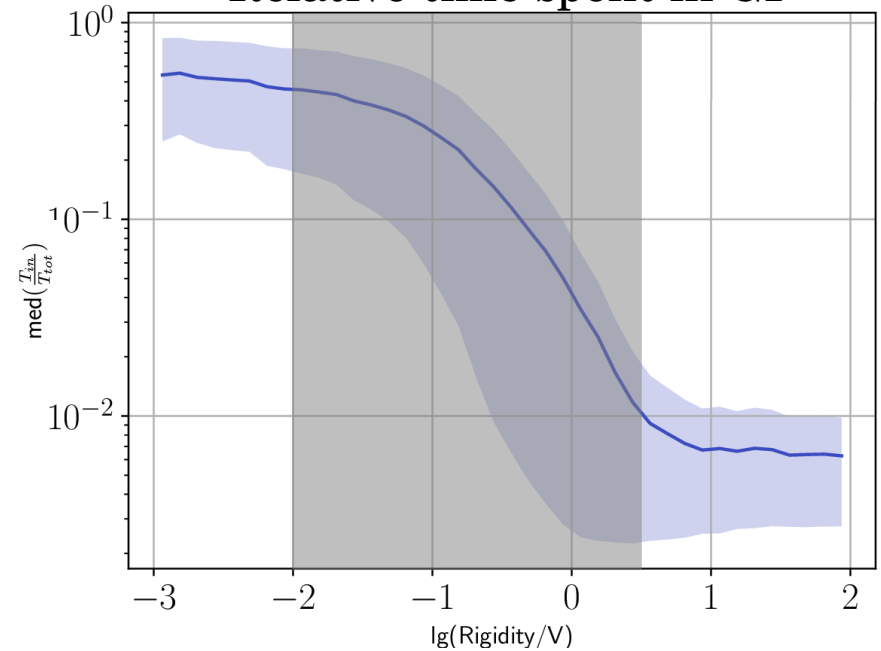
Relative time spent in GP decreases with rigidity; **inflection point at a few EV.**

# GCRs – Confinement in GP

Galactic trajectories ( $\lg(R/V) = 16 - 18.5$ )



Relative time spent in GP



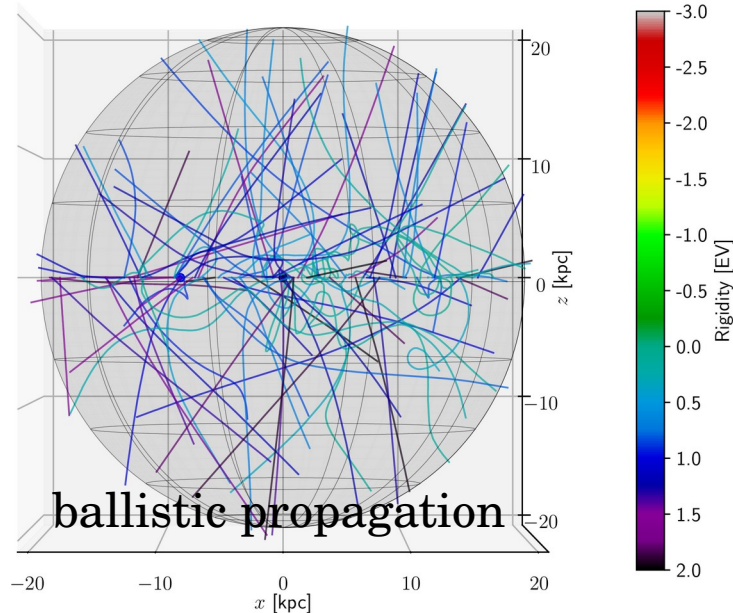
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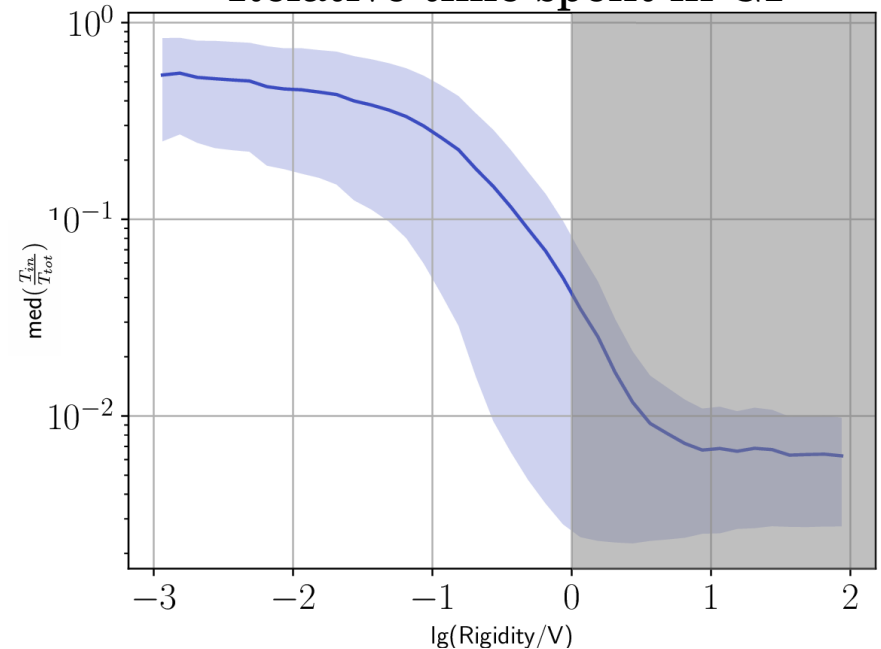


# GCRs – Confinement in GP

Galactic trajectories ( $\lg(R/V) = 18 - 20$ )



Relative time spent in GP

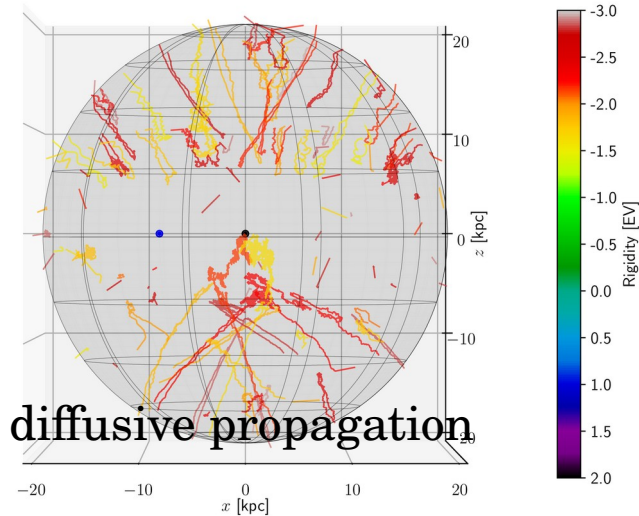


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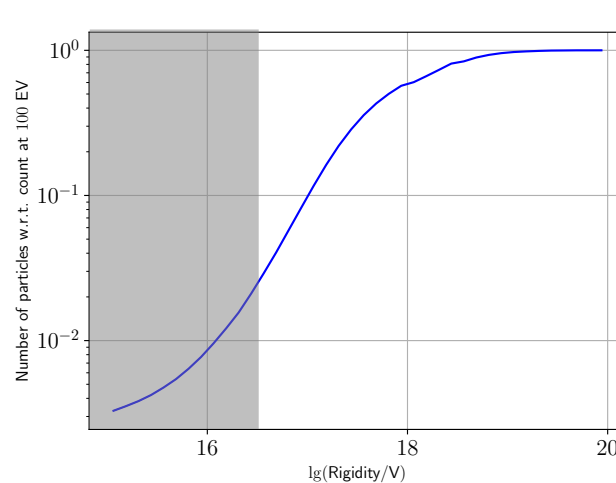
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# EGCRs – Shielding from vs. confinement in GP

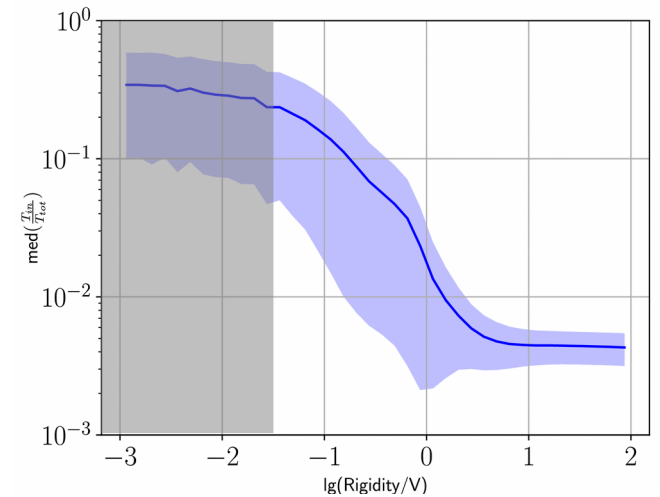
Galactic trajectories  
( $\lg(R/V) = 15 - 16.5$ )



CR count reaching GP



Relative time spent in GP



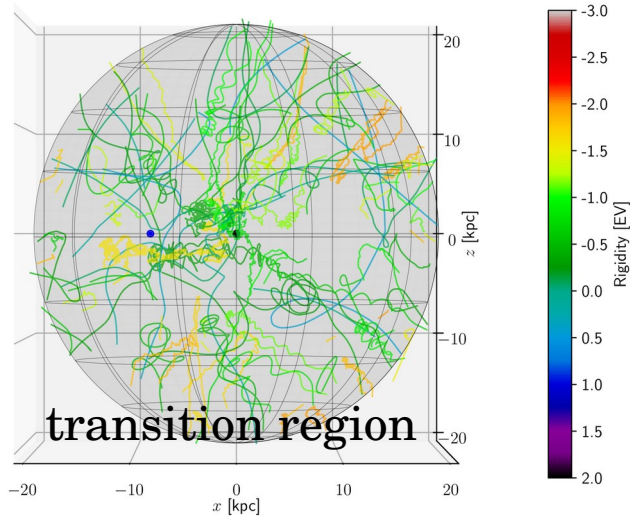
**Decreasing shielding from and confinement in GP with rigidity.**

**CR count decreases for smaller rigidities; inflection point at a few EV.**

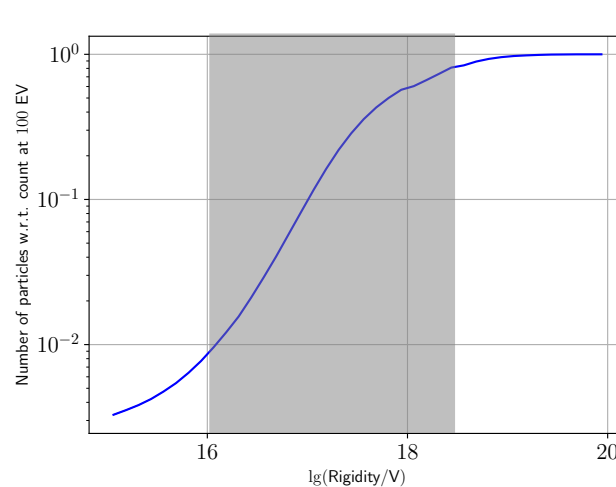
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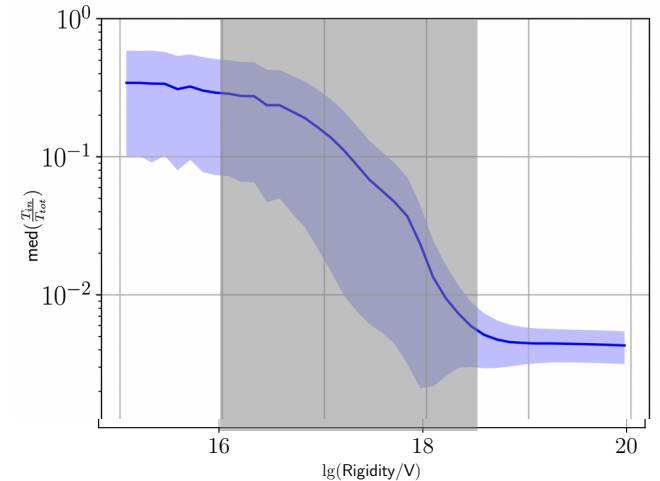
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Relative time spent in GP



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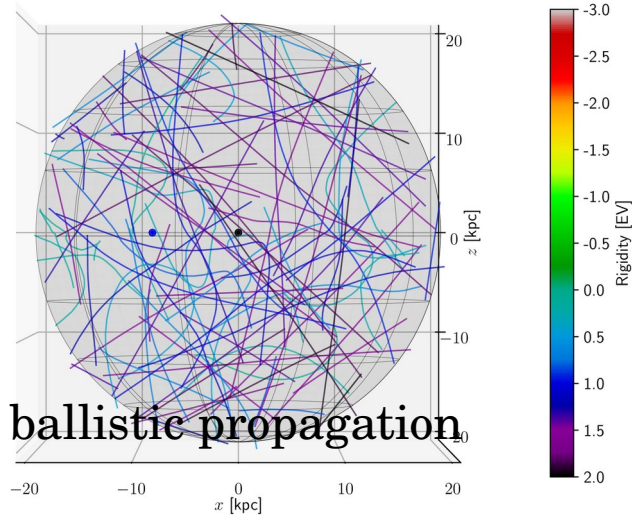
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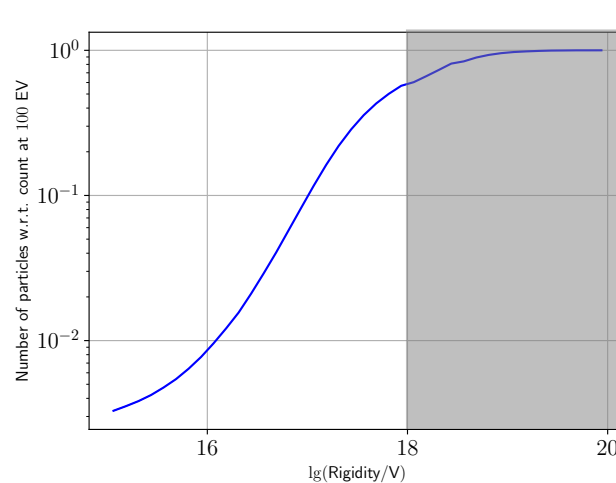


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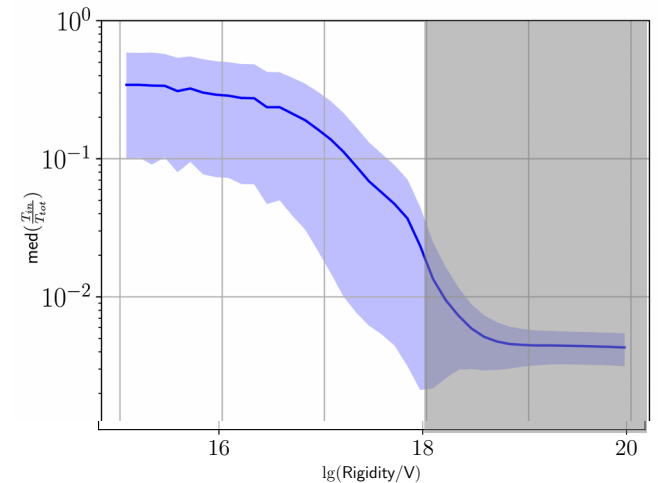
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CR count reaching GP



Relative time spent in GP



**Decreasing shielding from and confinement in GP with rigidity.**

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**Relative time spent in GP decreases with rigidity; inflection point at a few EV.**

# Effect on observables

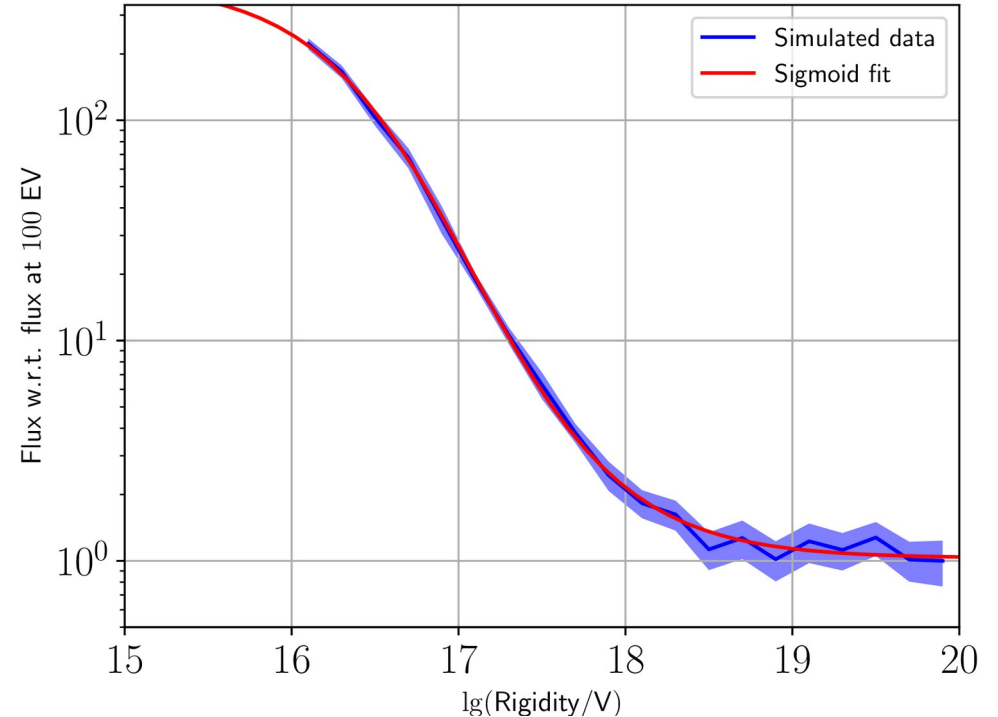
# GCRs – Flux suppression

Decreasing confinement  
→ **flux reduction**

Mixed composition  
→ **heavier towards ‘ankle’**

Arrival direction distribution:  
**correlation with GP direction**  
above 0.1 EV

Rigidity spectrum (sigmoid fit)



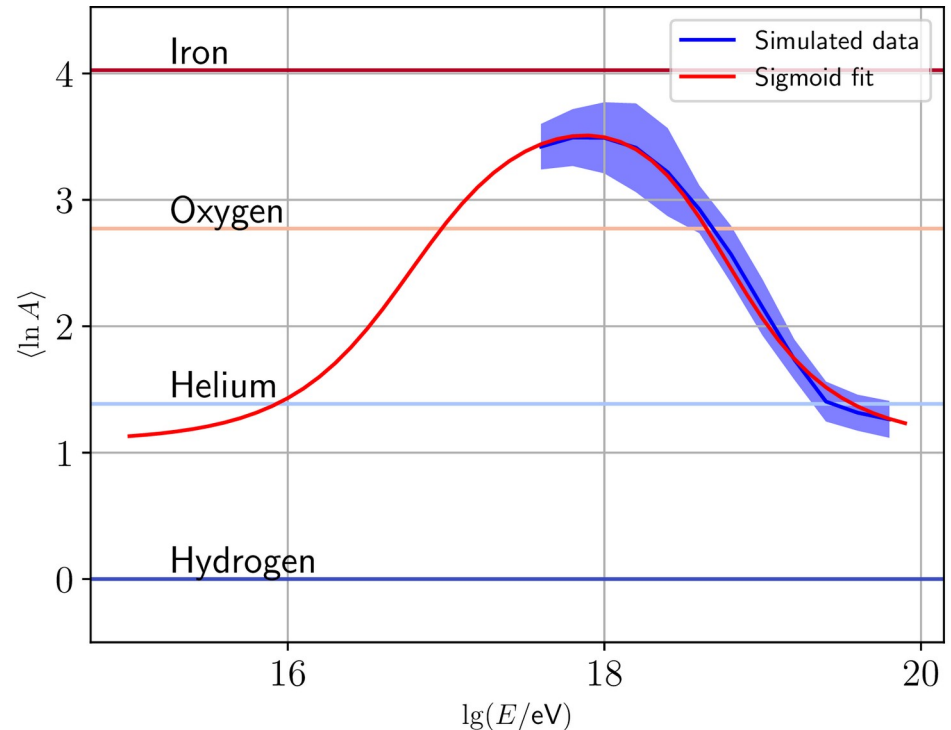
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Decreasing confinement  
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Arrival direction distribution:  
**correlation with GP direction**  
above 0.1 EV

Mean logarithm of mass number (sigmoid fit)



**NOTE: Only propagation effects in GMF!**

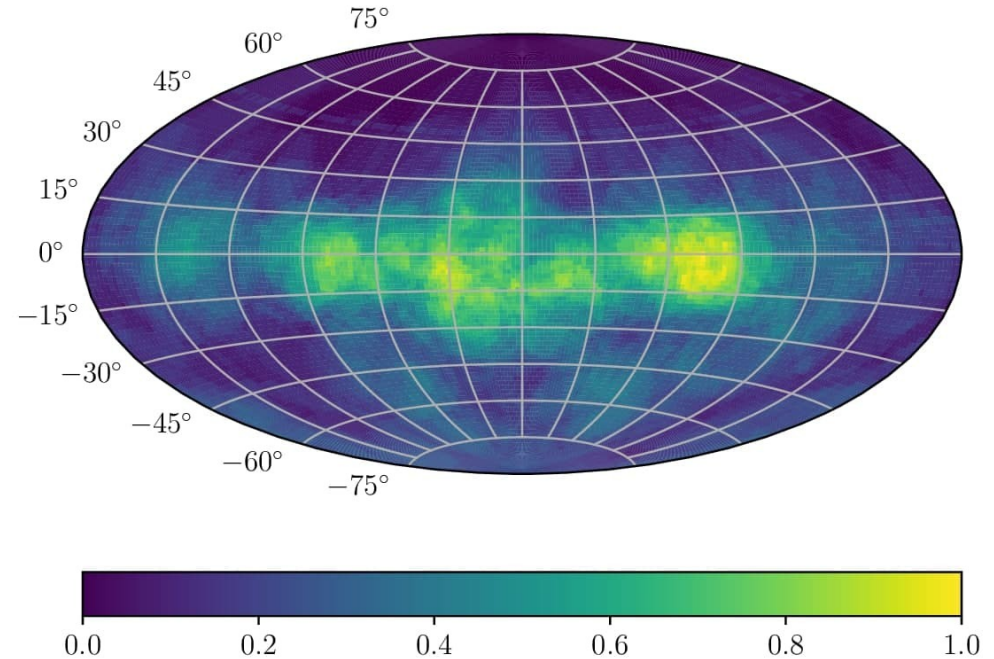
# GCRs – Correlation with GP direction

Arrival direction distribution above 0.1 EV

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# Isotropic EGCRs – Flux conservation

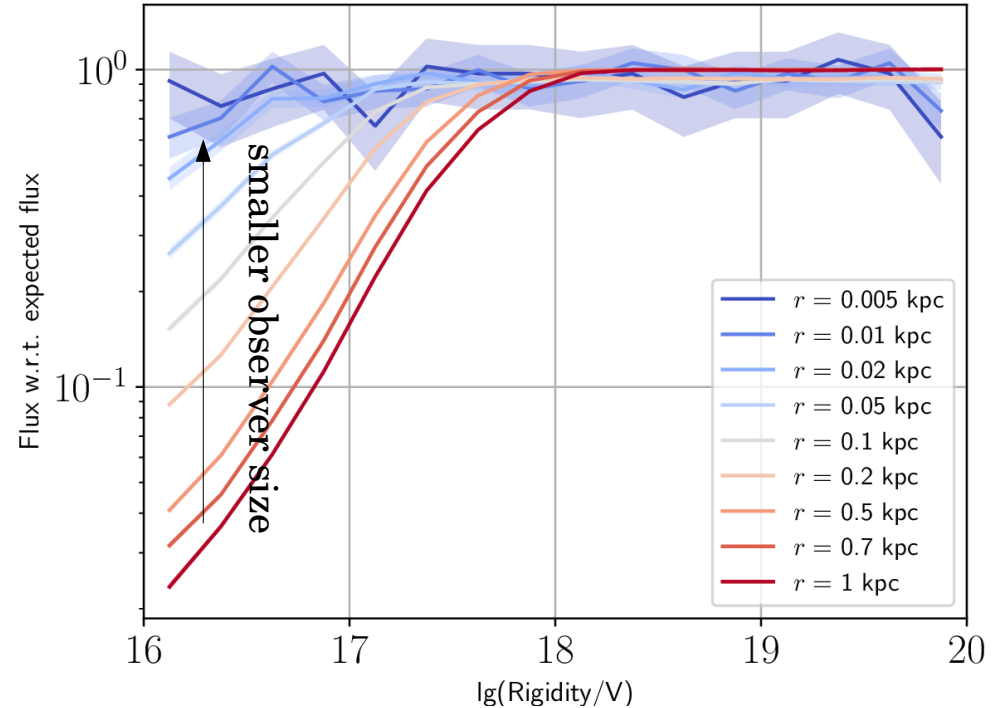
Apparent flux suppression for large observer sphere sizes; effect vanishes as  $r \rightarrow 0$ .

**Increased confinement in GP compensates increased shielding:**

**→ flux conservation**

**Isotropic arrival direction**

Rigidity spectrum



# Isotropic EGCRs – Isotropic arrival direction

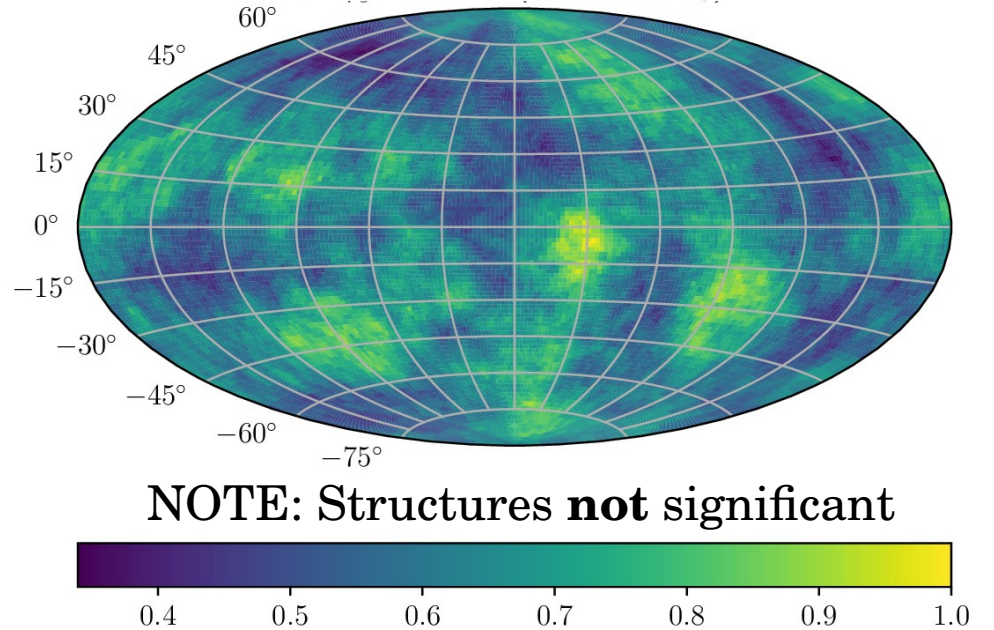
Apparent flux suppression for large observer sphere sizes; effect vanishes as  $r \rightarrow 0$ .

**Increased confinement in GP compensates increased shielding:**

→ **flux conservation**

**Isotropic arrival direction**

Arrival direction distribution



# Galactic lensing



# Creation of Galactic lens

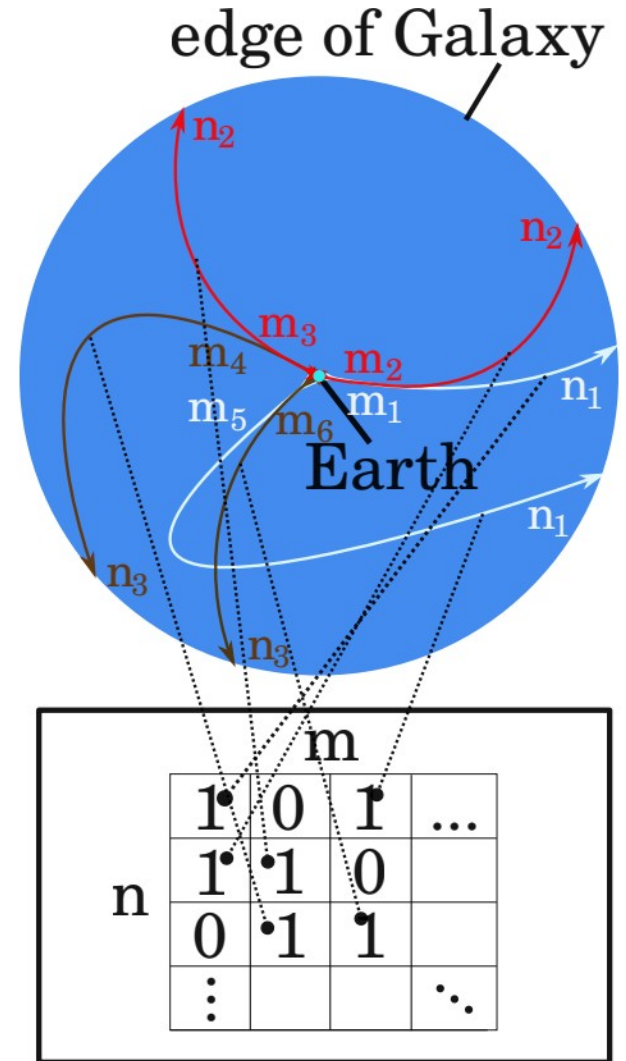
see also: Astropart.Phys. 85 (2016) 54-64 for lensing scheme & Eichmann, JCAP04(2020)047 for parallel work

## 1 backtrack $N$ anti-particles from Earth to edge of Galaxy in a given magnetic field:

- JF12 field (including random & striated components with default settings)
- $N = 5 \cdot 10^7$

## 2 ascribe HEALPix pixel $n$ and $m$ to each corresponding injection and arrival direction:

- $12 \times 64^2 = 49152$  pixels (maximum resolution in CRPropa)



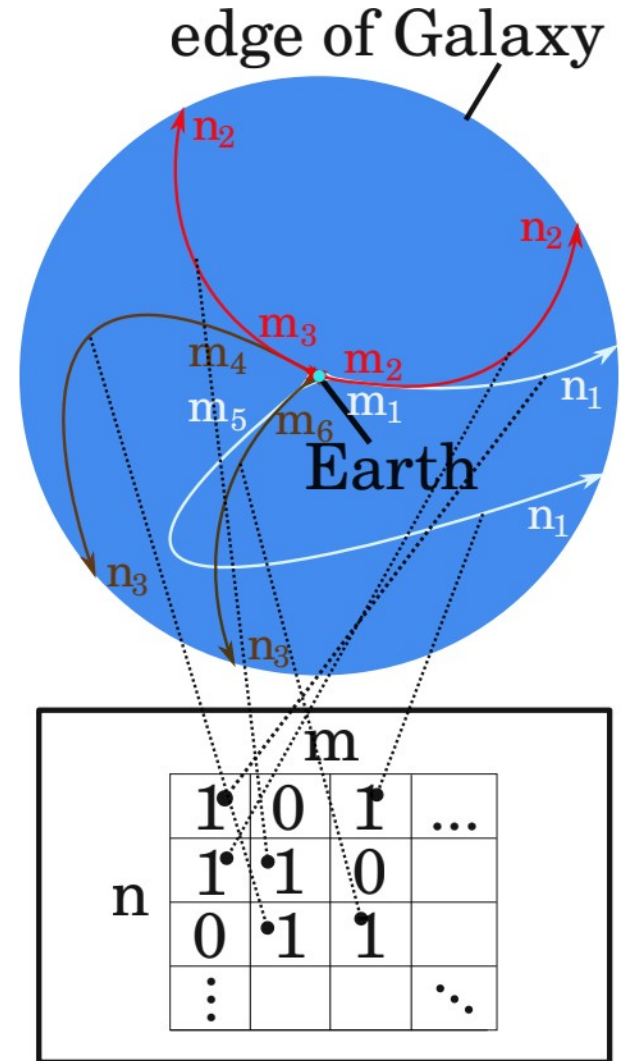
# Creation of Galactic lens

see also: Astropart.Phys. 85 (2016) 54-64 for lensing scheme & Eichmann, JCAP04(2020)047 for parallel work

## 3 count occurrence $o$ of each injection/arrival direction pair $(n,m)$

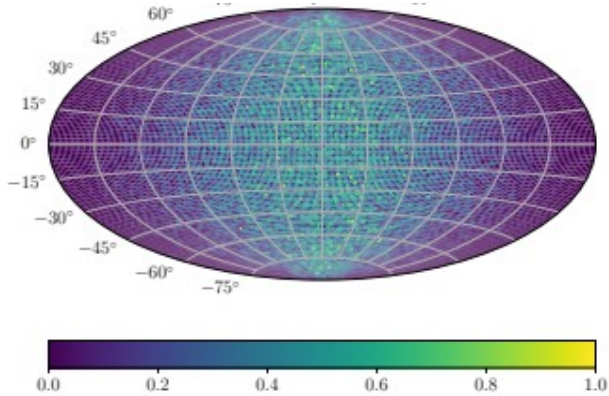
- spans matrix  $L$  ( $l_{nm} = o$ ) which signifies the **distribution of arrival directions  $m$**  at the observer point for each **injection direction  $n$**

## 4 matrix weighted by its 1-norm (= number of backtracked particles $N$ ) defines lens

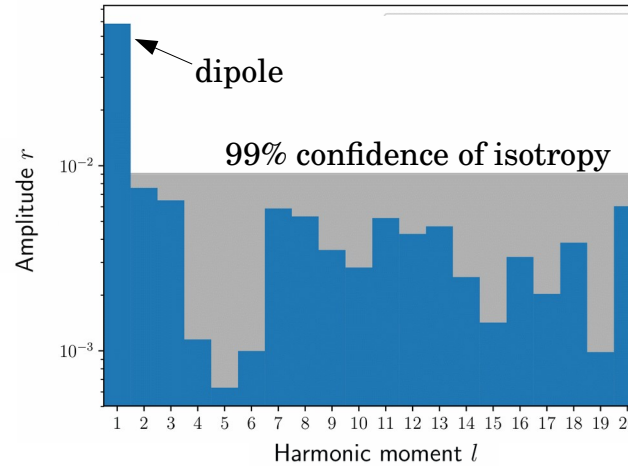


# Anisotropic EGCRs – Galactic lensing

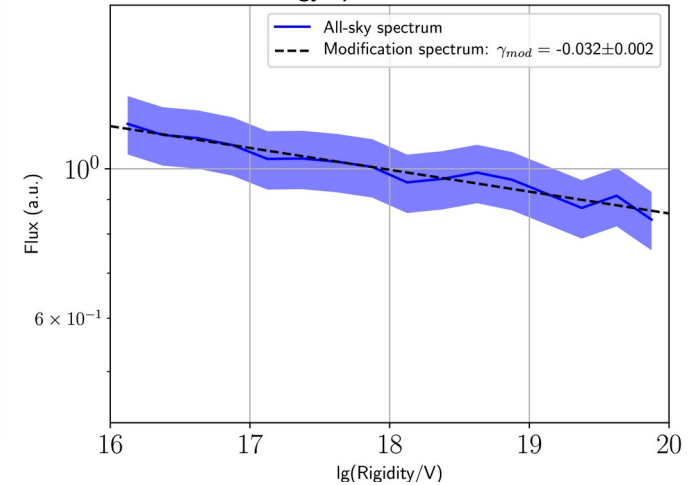
Injected flux



Distribution of moments above 1 EV



Flux at Earth  
Energy spectrum at Earth



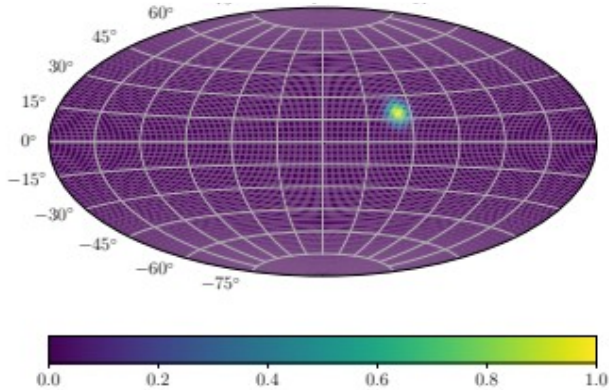
Injection direction distribution:  
**Pure dipole**

- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

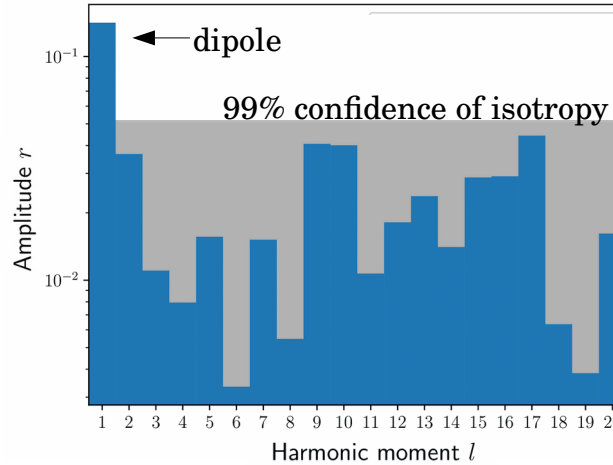
Rigidity spectrum at Earth → **possible flux modification**

# Anisotropic EGCRs – Galactic lensing

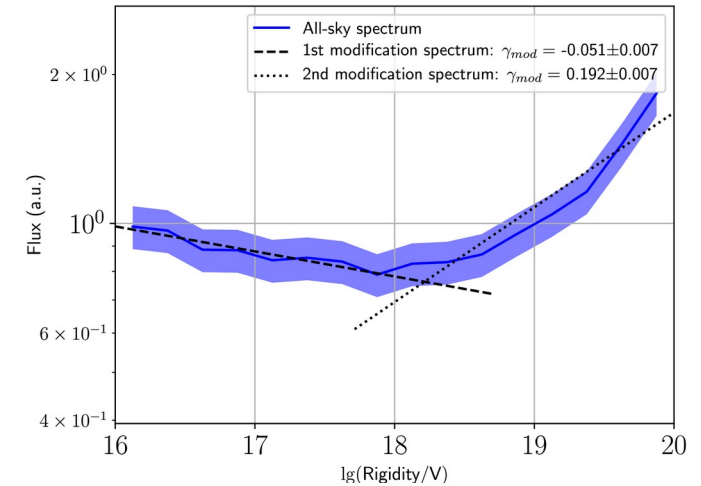
Injected flux



Distribution of moments above 1 EV



Flux at Earth



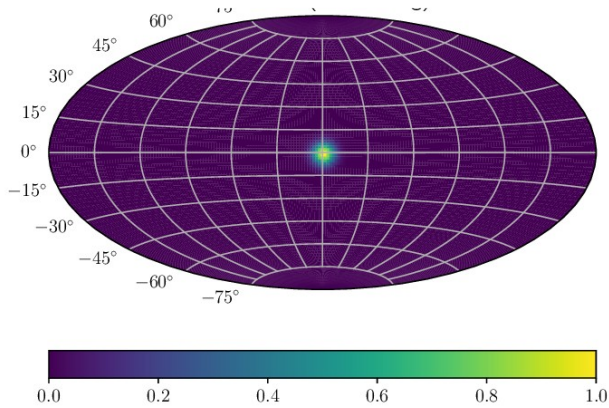
Injection direction distribution:  
**Pure single-point source (Cen A)**

- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

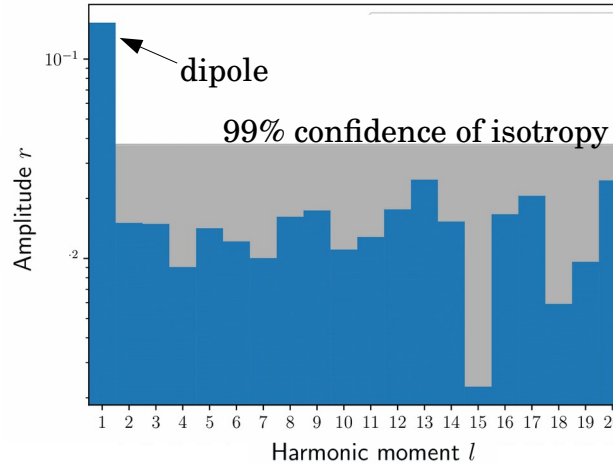
Rigidity spectrum at Earth → **possible flux modification**

# Anisotropic EGCRs – Galactic lensing

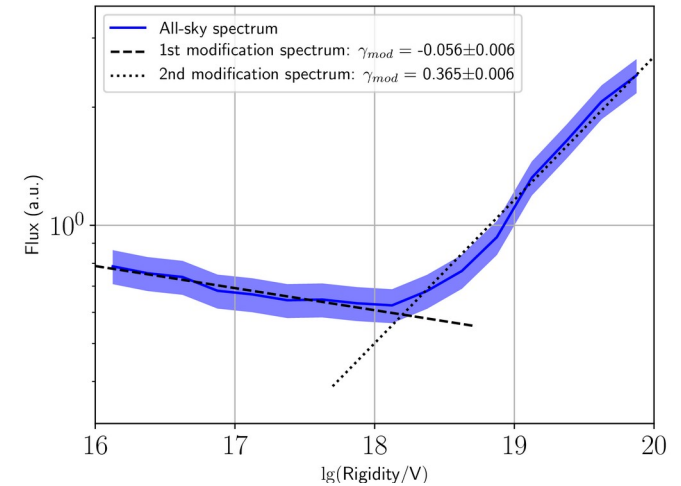
Injected flux



Distribution of moments above 1 EV



Flux at Earth



Injection direction distribution:  
**Pure single-point source** (minimum Galactic transparency; Galactic centre)

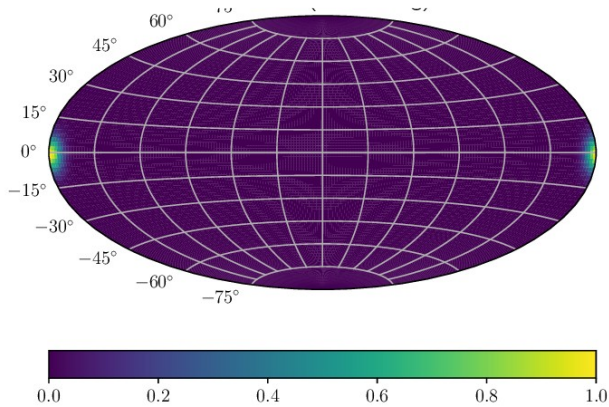
- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

Rigidity spectrum at Earth → **possible flux modification**

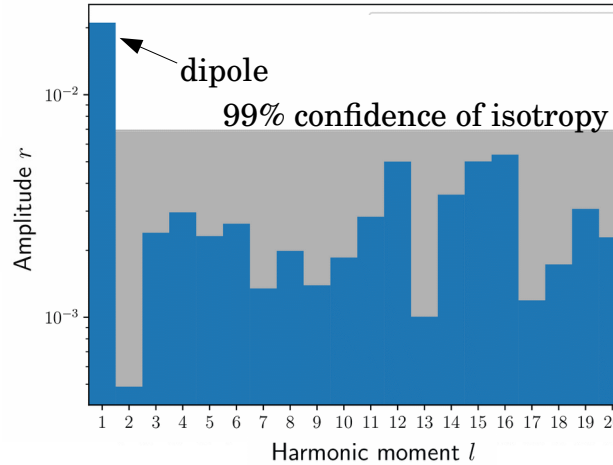


# Anisotropic EGCRs – Galactic lensing

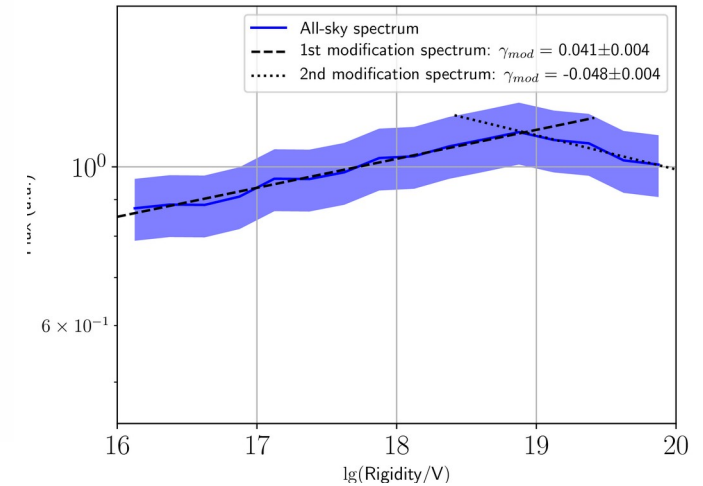
Injected flux



Distribution of moments above 1 EV



Flux at Earth



Injection direction distribution:  
**Pure single-point source** (Galactic anti-centre)

- surviving dipole in arrival direction distribution above 1 EV
- strong isotropisation by GMF at lower energies

Rigidity spectrum at Earth → **possible flux modification**

# Summary (1)

Propagation effects:

- Propagation in GMF for  $R = 10^{16-20}$  V: **change in propagation regimes from diffusive to ballistic**
- **Inflection point at a few EV** ( $r_g \sim$  width of GP) for all observed quantities

Effect on observables:

- GCRs:
  - **Flux suppression** towards higher rigidities; **heavier mixed composition** towards ‘ankle’
  - **Correlation with direction of GP** for rigidities above 0.1 EV
- EGCRs:
  - **Isotropic injection: No flux suppression and isotropic arrival direction**
  - **Anisotropic injection: Dipole and single point source  $\rightarrow$  arrival direction isotropic below 1 EV, possible flux modification**

# Summary (2)

Implications for transition:

- GCRs:
  - **Propagation in GMF** leads to **'knee'-like feature**
  - Significant contribution of **GCRs originating from GP disfavoured** at highest energies of 'shin' region
- EGCRs:
  - Part of **'ankle'** may be a **propagation effect in GMF**



Thank you for your attention!

# Open questions

## Propagation effects:

- How does the change in propagation regimes manifest?
- Do propagation features arise?

## GCRs:

- How **strongly** are they **contained**/How easily do they diffuse out of the Galaxy?
- What **effect** does this have **on** the GCR **flux**?

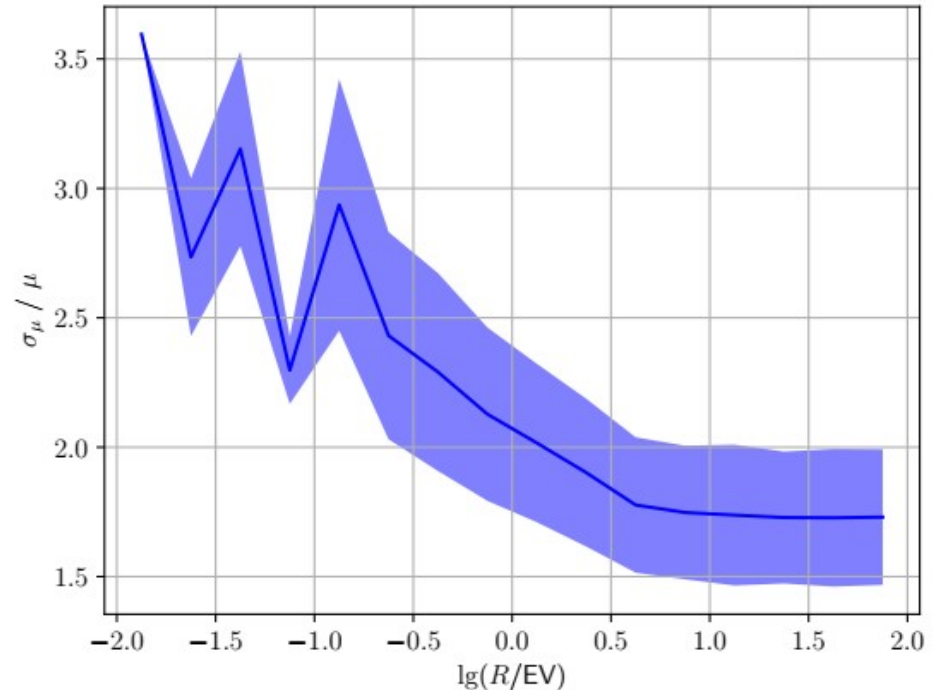
## EGCRs:

- How **strongly** are they **shielded** by the GMF?
- How are they **deflected** by the GMF **once** they have **entered** the **Galactic plane**?
- Does this lead to **flux modification**?

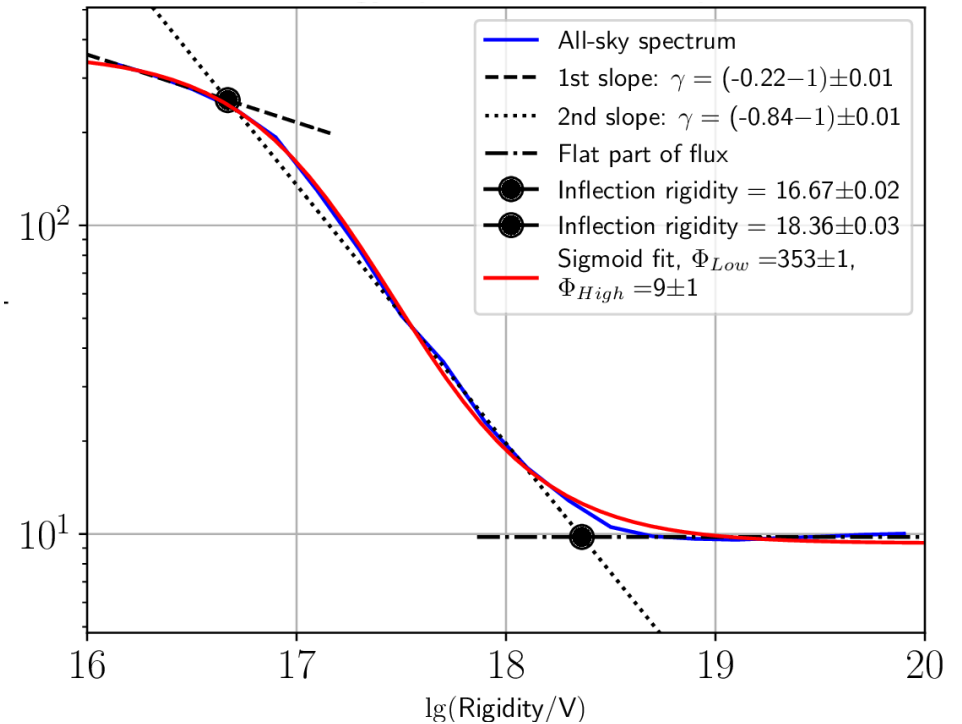
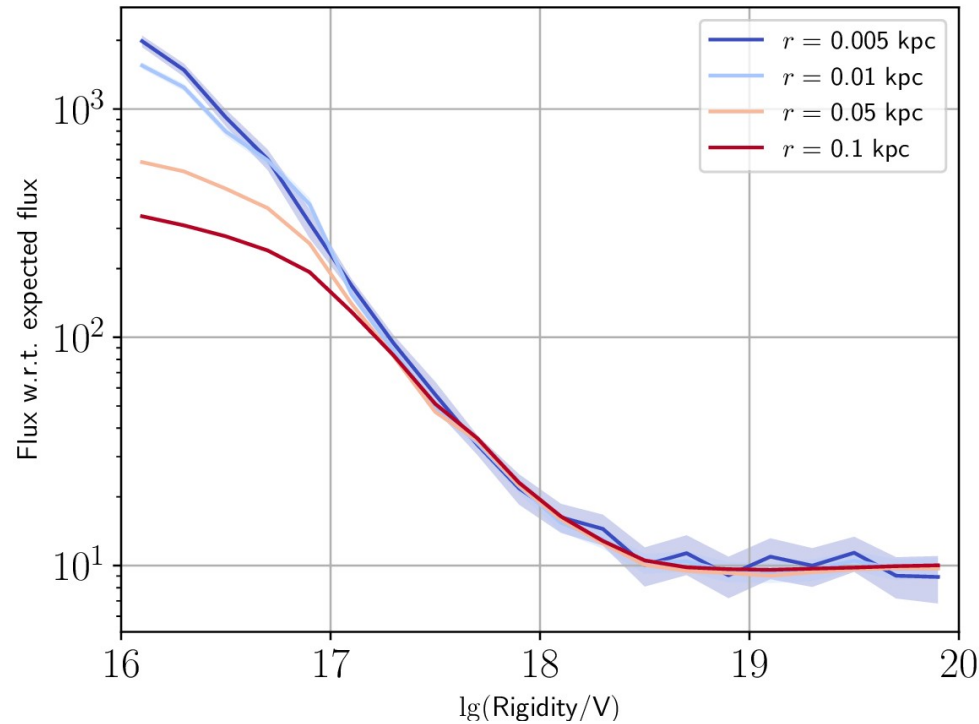
# Liouville's Theorem

- Objection to flux modification of EGCRs: **Liouville's Theorem**
  - If **phase space density is conserved, so is flux**
  - BUT: If Liouville holds, then **other quantities are conserved, i.a. first adiabatic invariant**  
~ classical magnetic moment (APJ 842:54, APJ 830:19):

$$\mu = \frac{e}{2m\pi c} \cdot I = \text{const.} \Rightarrow r_\mu = \frac{\sigma_\mu}{\langle \mu \rangle} \text{ small}$$

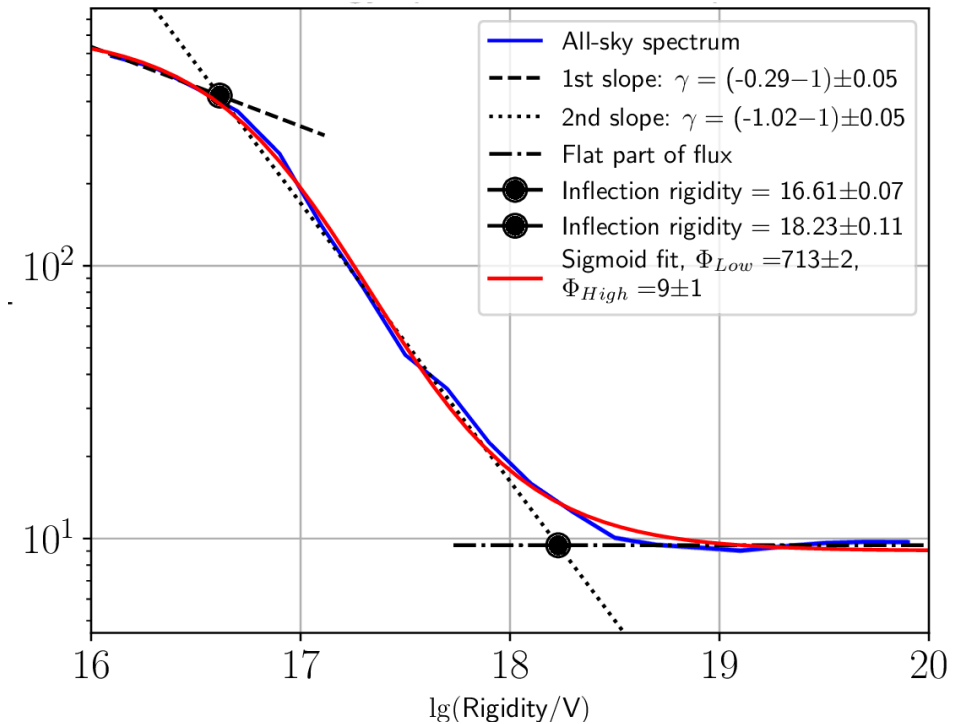
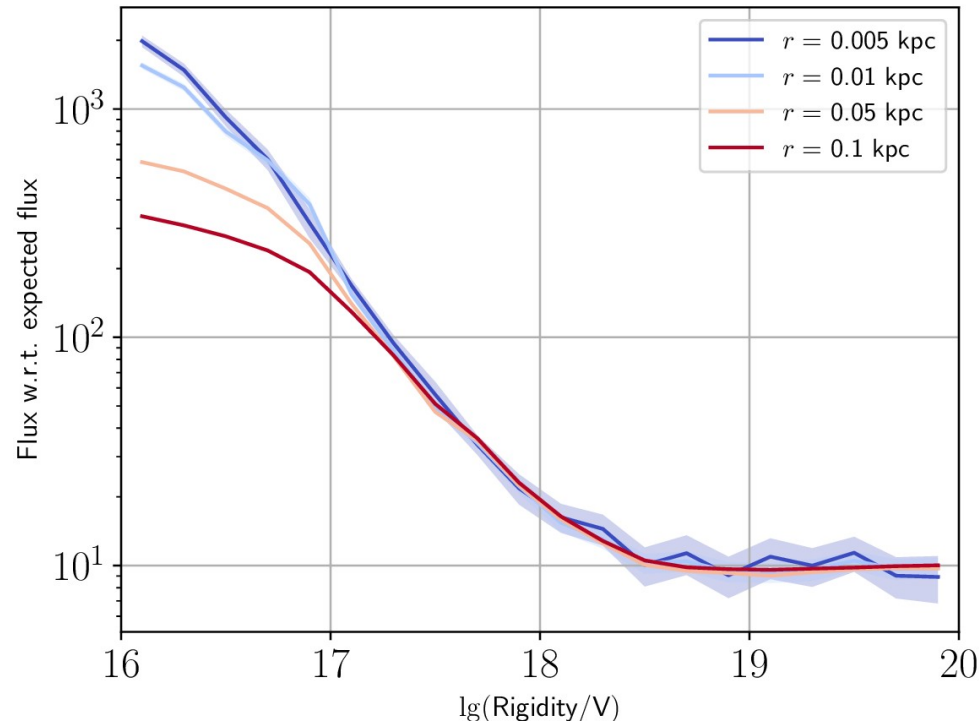


# GCRs – Sigmoid fit to flux



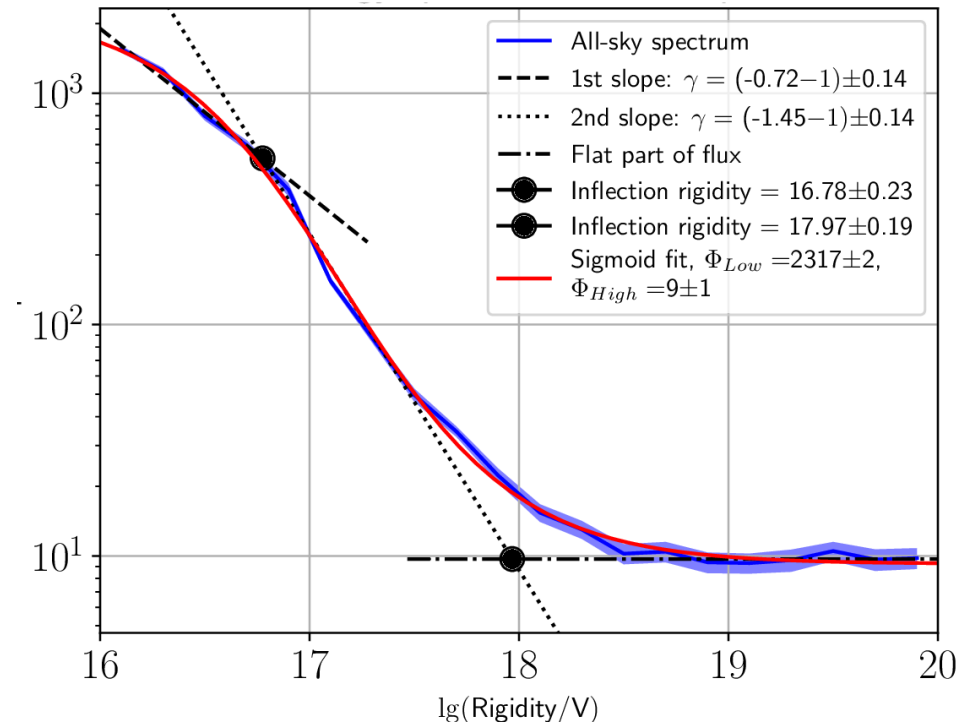
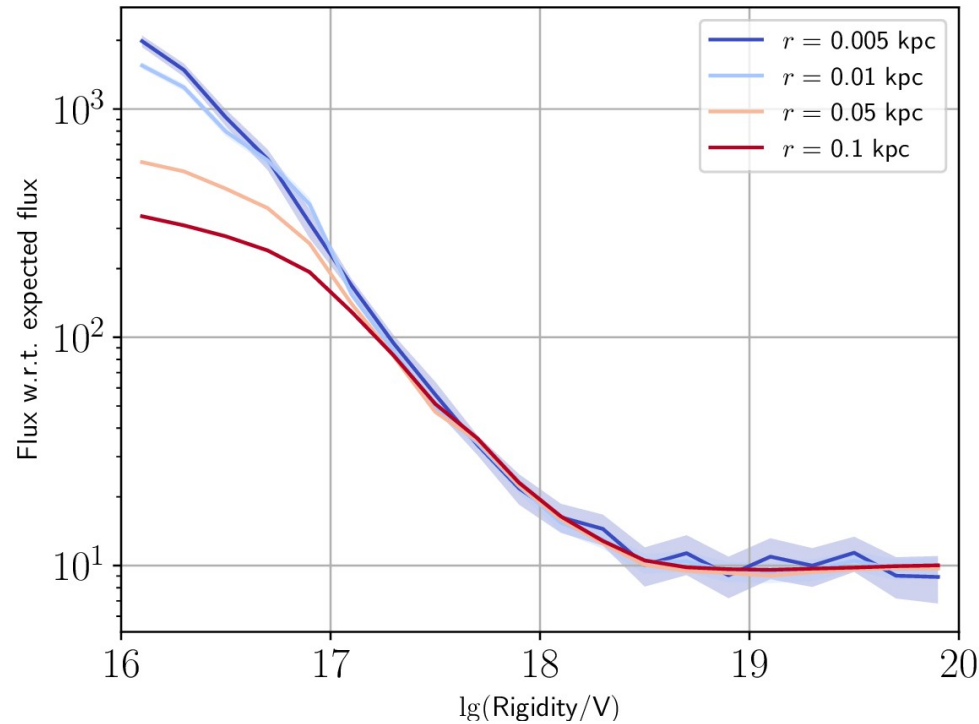
- Flux enhancement towards lower rigidities appears to flatten out  $\rightarrow$  sigmoid fit
- Advantage: wider overlapping energy range of mixed compositions

# GCRs – Sigmoid fit to flux



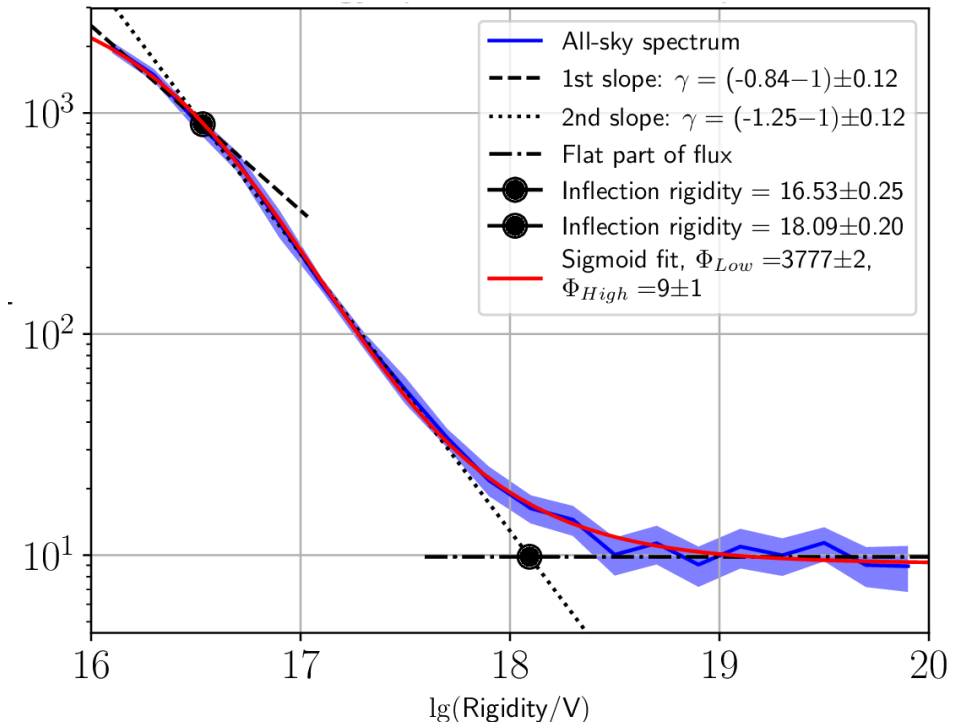
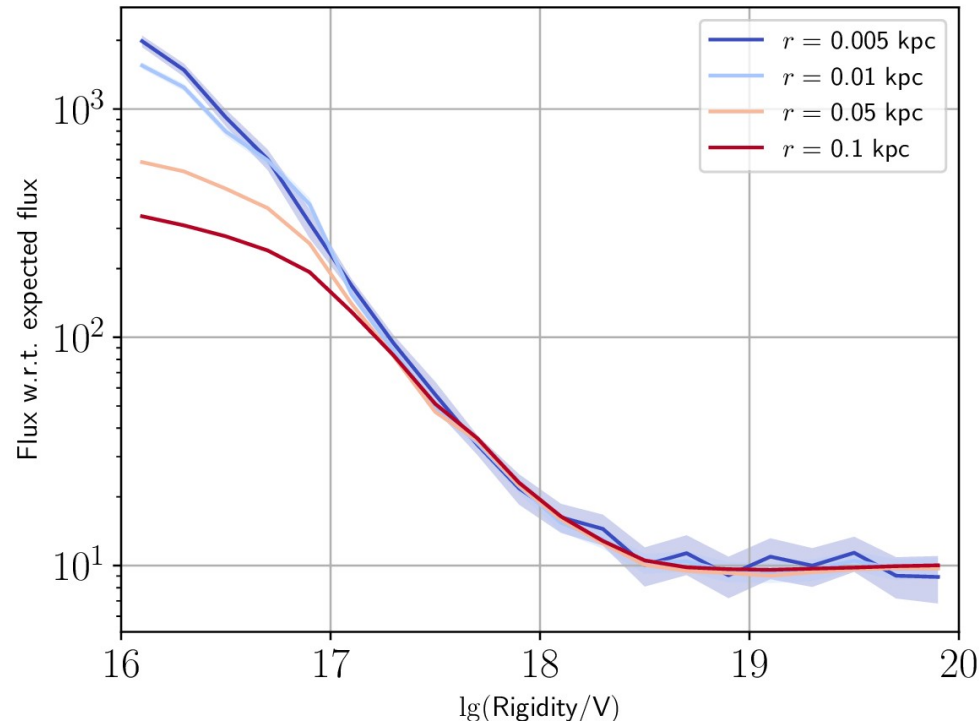
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# GCRs – Sigmoid fit to flux



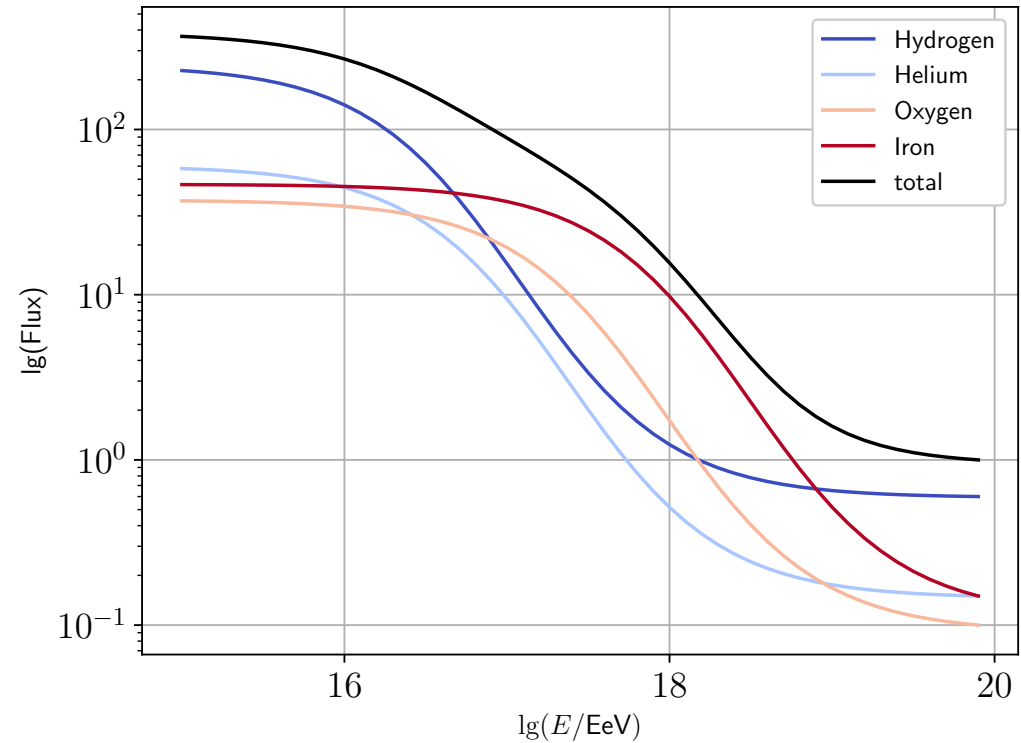
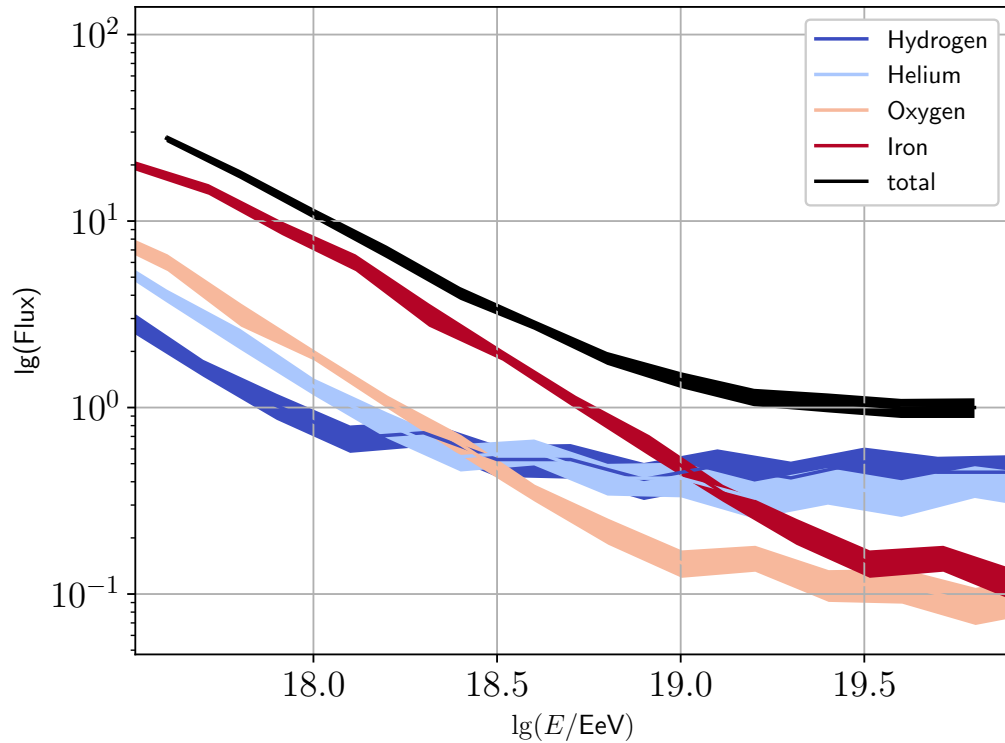
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# GCRs – Sigmoid fit to flux



- Flux enhancement towards lower rigidities appears to flatten out  $\rightarrow$  sigmoid fit
- Advantage: wider overlapping energy range of mixed compositions

# GCRs – Total flux (data and sigmoid fit)

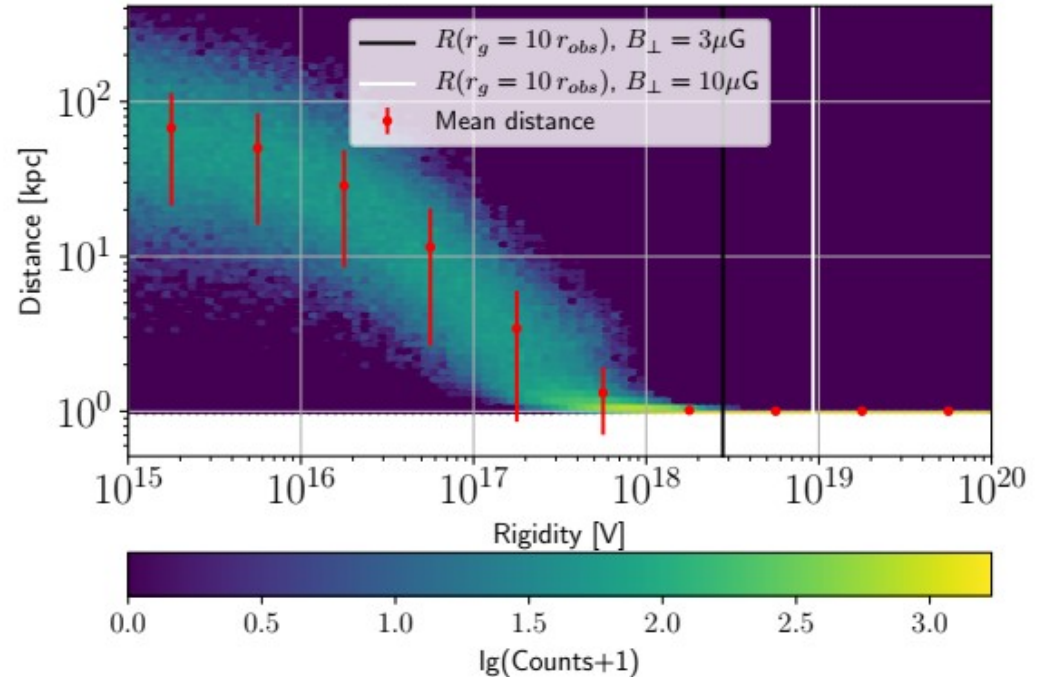


- Onset of flux suppression for mixed composition visible for sigmoid fit



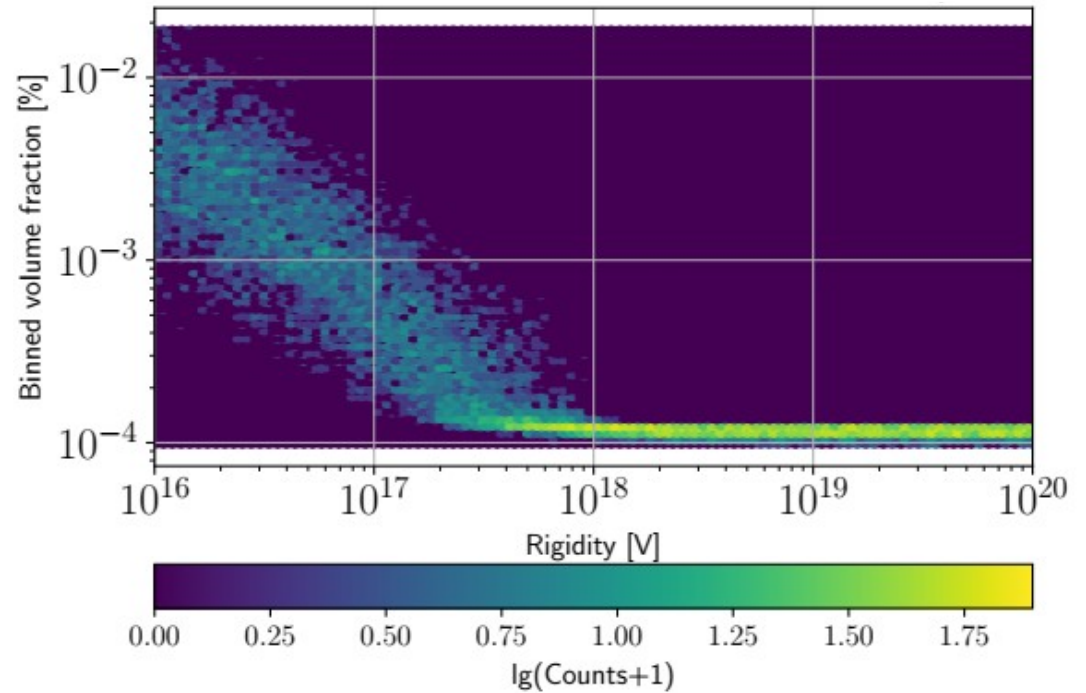
# On the modification of EGCR energy spectrum

- **Propagation time and fraction of space traversed increases to compensate shielding**



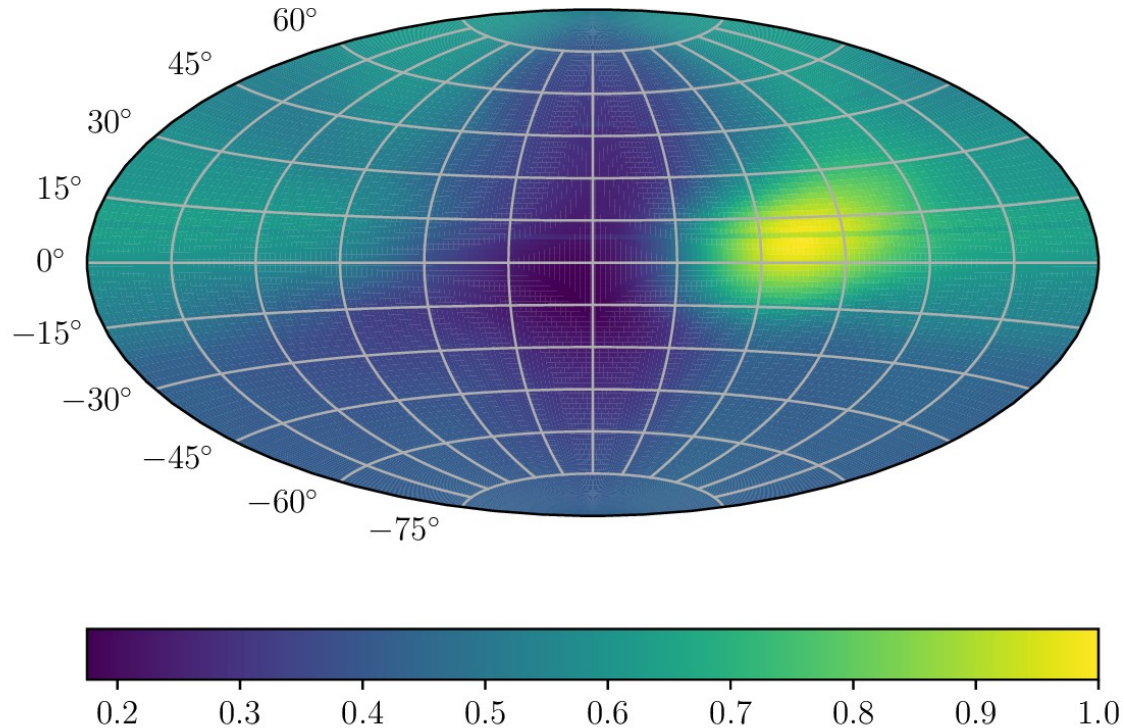
# On the modification of EGCR energy spectrum

- **Propagation time and fraction of space traversed increases to compensate shielding**



# EGCRs – Opacity of Galaxy

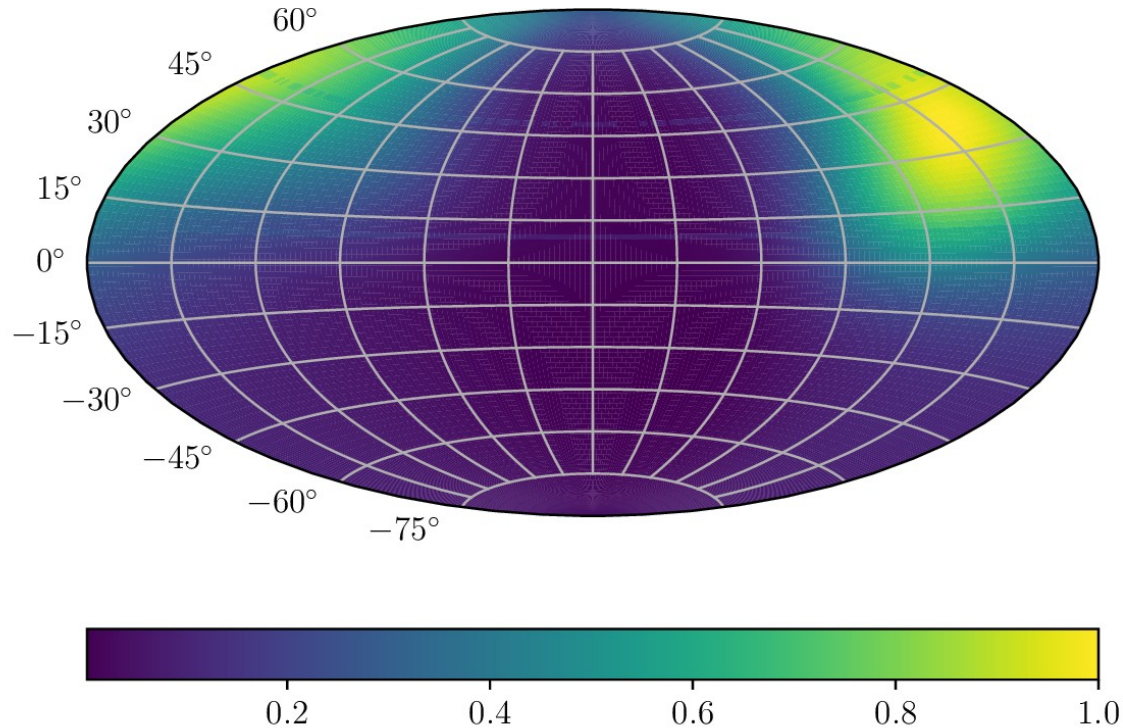
Injection direction of observed EGCRs  
( $\lg(R/V) = 19-20$ )



- Regions of enhanced/suppressed transparency shift with rigidity

# EGCRs – Opacity of Galaxy

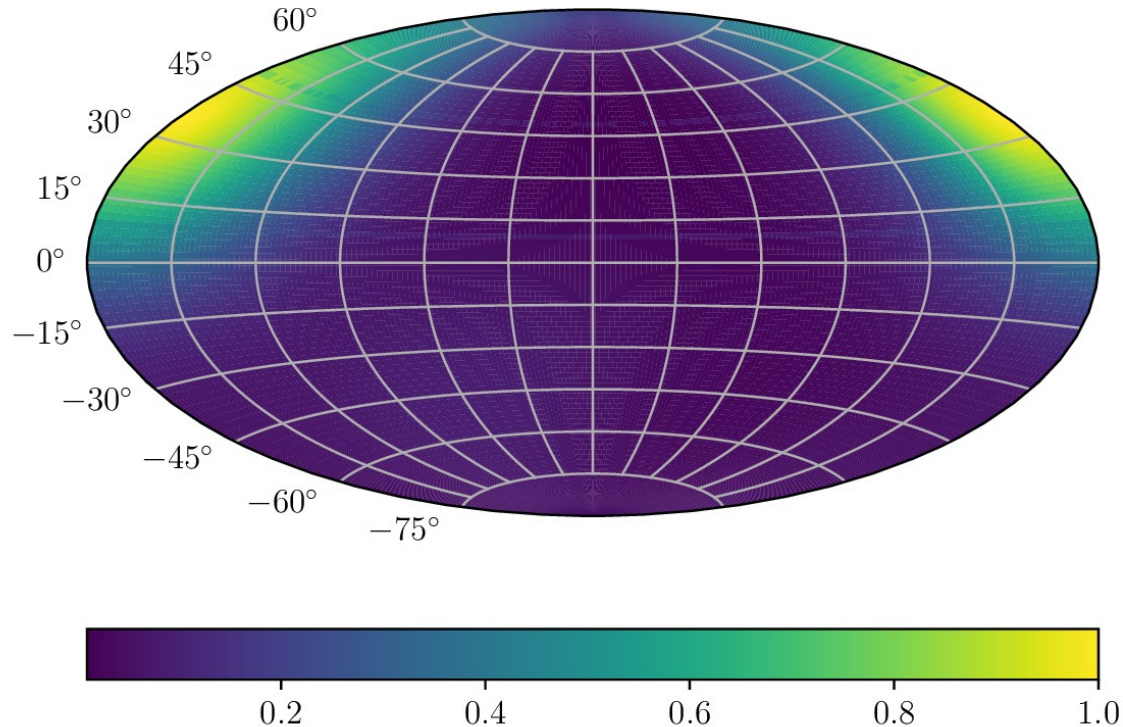
Injection direction of observed EGCRs  
( $\lg(R/V) = 18-19$ )



- Regions of enhanced/suppressed transparency shift with rigidity

# EGCRs – Opacity of Galaxy

Injection direction of observed EGCRs  
( $\lg(R/V) = 17-18$ )

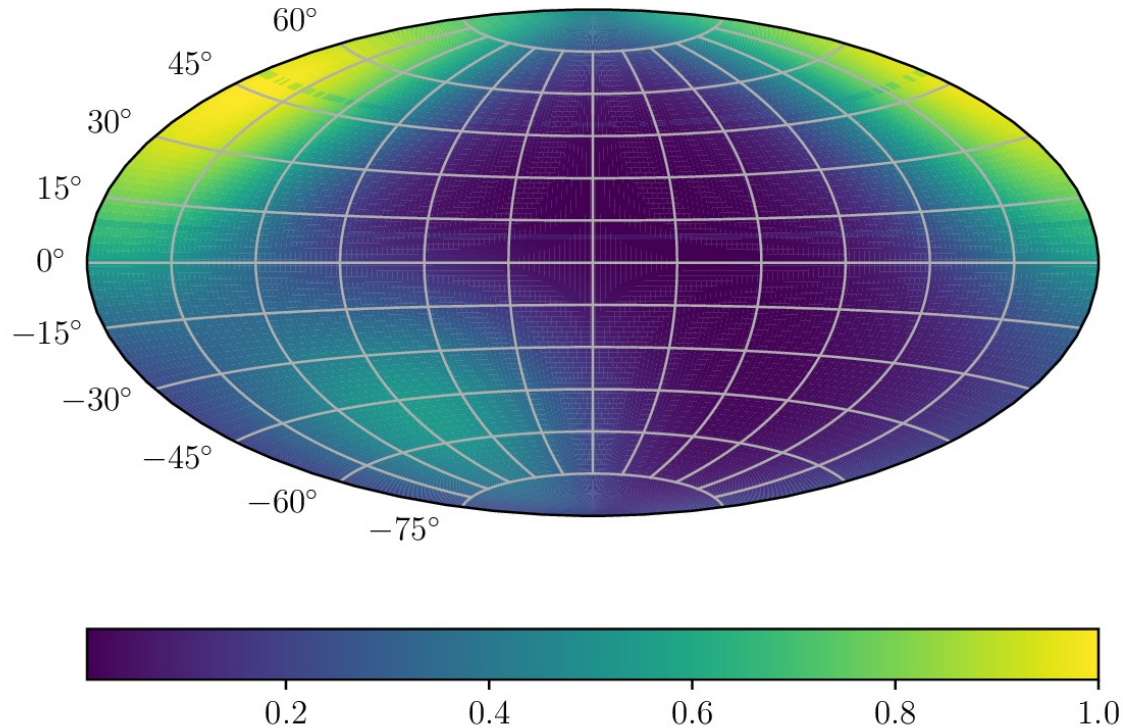


- Regions of enhanced/suppressed transparency shift with rigidity



# EGCRs – Opacity of Galaxy

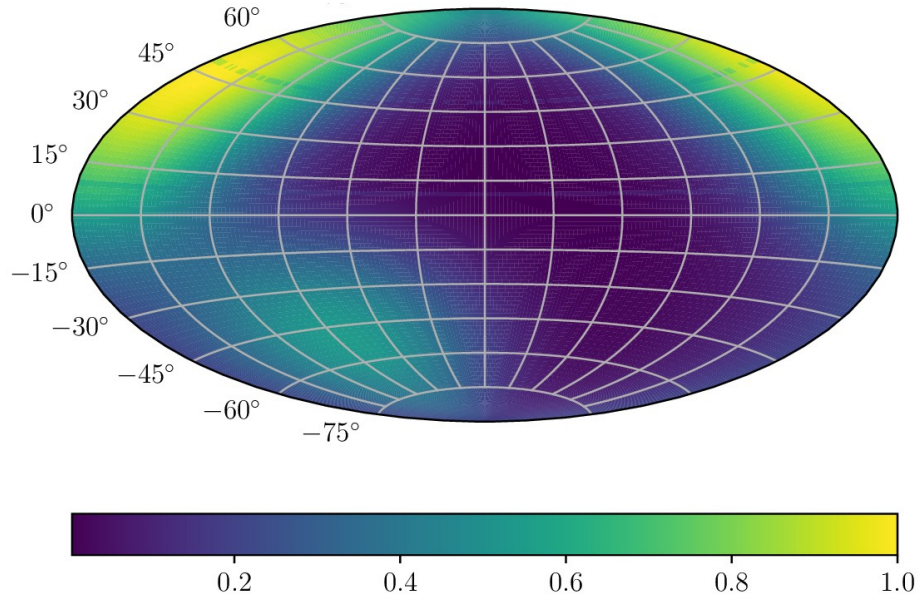
Injection direction of observed EGCRs  
( $\lg(R/V) = 16-17$ )



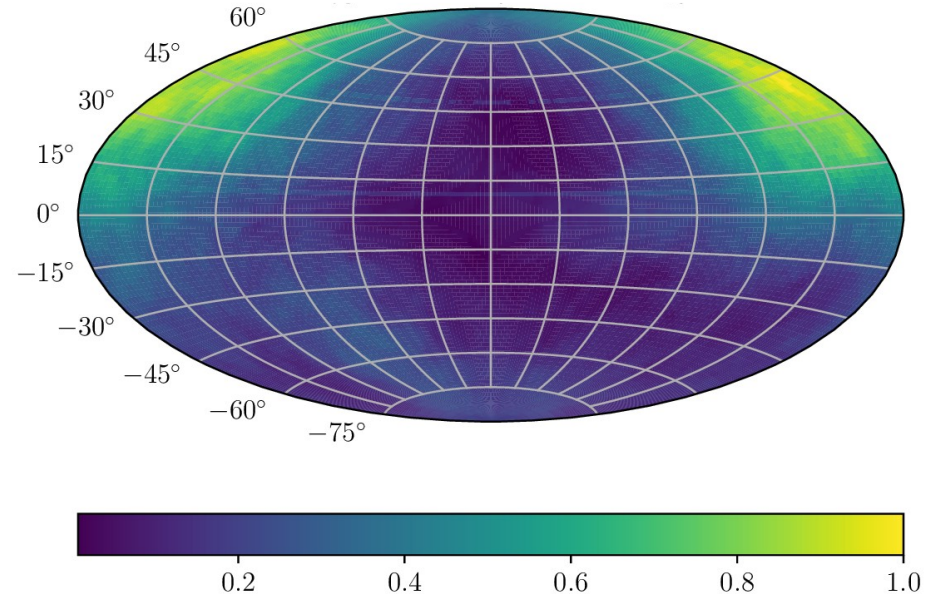
- Regions of enhanced/suppressed transparency shift with rigidity

# Galactic lensing – time reversibility

Injection direction of observed EGCRs  
backtracking



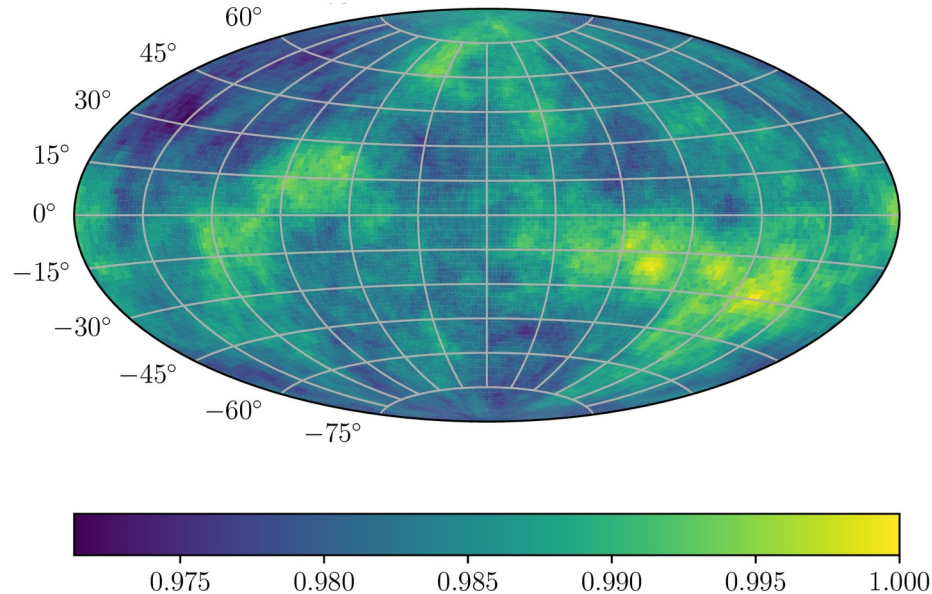
Injection direction of observed EGCRs  
forward tracking



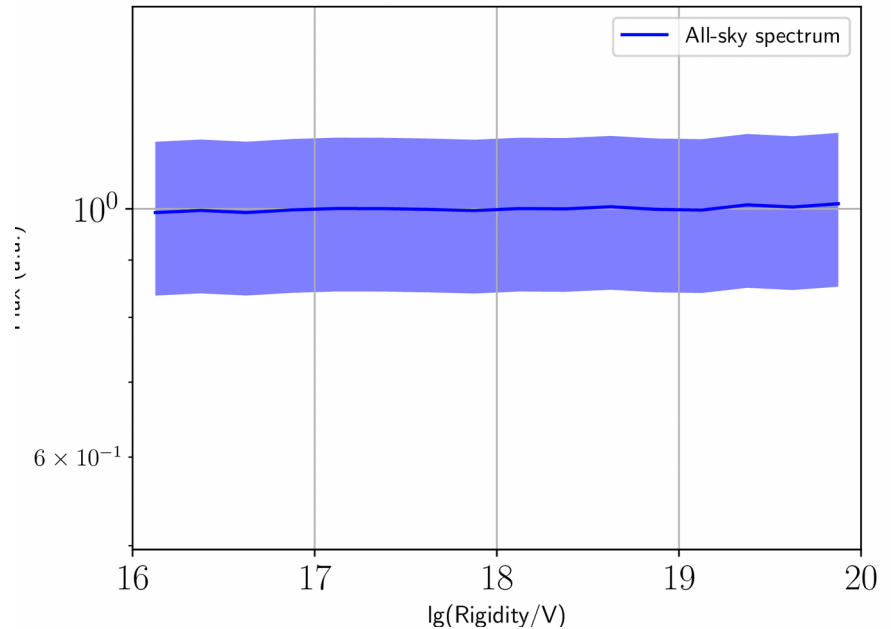
Injection direction distributions of backtracked and forward tracked protons match

# Galactic lensing – testing lens

Arrival direction of lensed isotropic injection distribution



Spectrum of lensed isotropic injection distribution

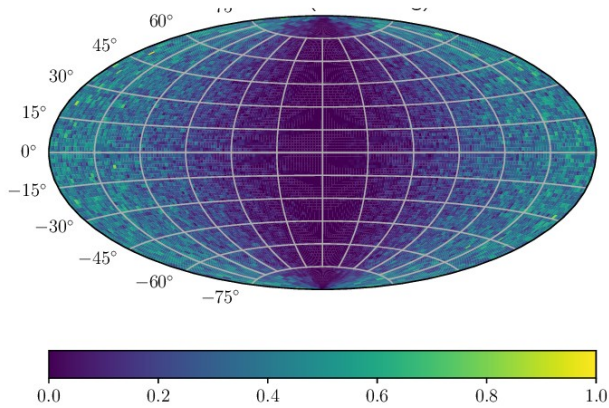


Lensed arrival direction distribution and spectrum of isotropic injection distribution is as expected.



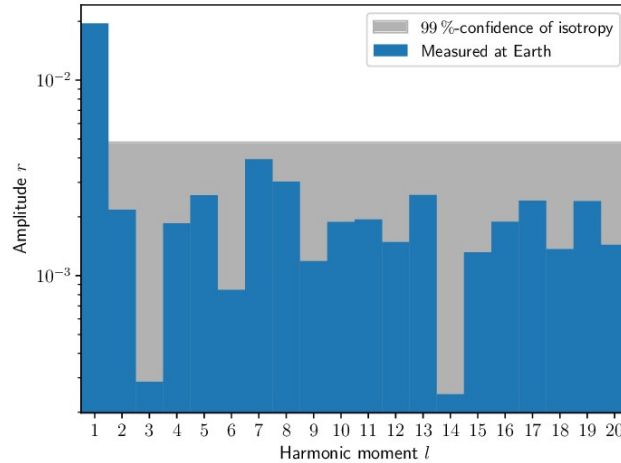
# Anisotropic EGCRs – Galactic lensing

Injected flux



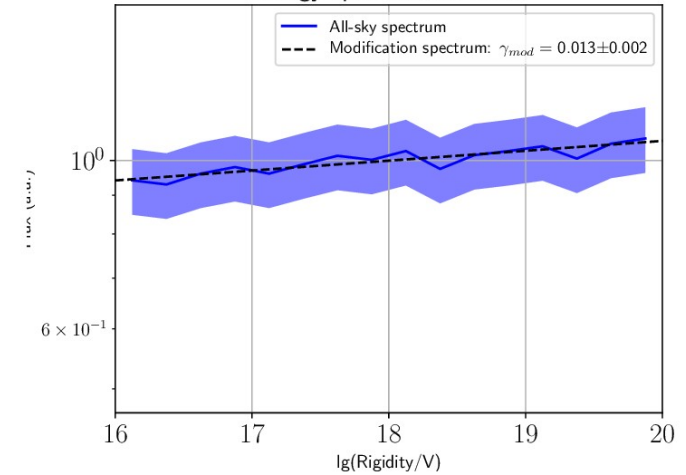
Injection direction distribution:  
**Pure dipole**

Distribution of moments above 1 EV



Distribution of harmonic moments of arrival direction distribution above 1 EV  
→ **strong isotropisation by GMF**

Flux at Earth



Rigidity spectrum at Earth → **possible flux modification**